



# Strip Load on Transversely Isotropic Elastic Double Porosity Media with Strong Permeability Contrast

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# Strip load on transversely isotropic elastic double porosity media with strong permeability contrast

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## Abstract

In double porosity media, fluid could flow through the distributed micro-fractures at a larger scale as well as through the nanopores of the organic matrix at a smaller scale, while fluid mass could be transferred between them. Besides, the solid deformation acts as an important coupling term and leads to the two-way coupled process. However, these processes are not well presented in previous studies. In this work, a new coupled modeling approach was proposed for saturated double porosity media with strong permeability contrast. Specifically, the transversely isotropic equivalent fracture permeability is considered for the preferentially oriented micro-fractures at the micro-scale or meso-scale; while at the nano-scale, the non-Darcy flow and mass transfer equations are employed to consider the interaction between fluid particles and the solid pore wall. Finally, the stabilized finite element method is developed to investigate the settlement and pressure dissipation behaviors of the strip load problem.

**Keywords:** Double porosity; Transverse isotropy; Non-Darcy flow; Strip load

## 1 Introduction

Coupled flow and deformation is always an intriguing research topic ([Rutqvist and Stephansson, 2003](#)) and is important for industry as well ([Cui et al., 2018](#)). The earliest research could date back to Terzaghi and Biot, who established the basis of poroelasticity ([Lewis et al., 1998](#); [Ye et al., 2021](#)), which is still a powerful tool in many engineering problems nowadays ([Chen and Baker, 2019](#); [Chen et al., 2021](#)). The poroelasticity theory assumes the porous media is homogeneous, which belongs to the category of single porosity poromechanical model ([Zhang and Mehrabian, 2020, 2021](#)). However, in the natural environment, the porous media could be highly heterogeneous, which means there might be more than one porosity scale of interest ([Kim et al., 2012](#)). In this work, a special category named double porosity fractured media was considered, which is proposed by researchers in petroleum engineering ([Barenblatt et al., 1960](#); [Warren and Root, 1963](#)). Double porosity fractured media has micro-fractures at the micro-scale or meso-scale, and nanoporous matrix at the nano-scale. It should be noted that the macro-fractures and faults belong to another much larger porosity scale ([Wang et al., 2020](#); [Yang et al., 2021](#); [Zhang and Zhu, 2018](#)), which is not covered here. Over the last 50 years, numerous frameworks with different degrees of sophistication have been proposed to model the double porosity fractured media. Here only the dual-continuum

conceptual framework is used (Coussy, 2003), which assumes two pore regions overlap with each other in the computational domain, and they are linked by a leakage (source/sink) term (Lemonnier and Bourbiaux, 2010). Based on this framework, many researchers have investigated the coupled flow and deformation behaviors in double porosity fractured media (Bai et al., 1993). However, most of these publications relied on the phenomenological approach to obtain the poromechanical coefficients, or they adopted two separate constitutive laws for two continua.

For the fluid flow behavior, most of the previous studies assumed it would follow Darcy’s law (Shao et al., 2020b; Zhang et al., 2020). However, with the development of unconventional reservoirs, more and more attentions have been paid to the flow mechanisms in matrix pores whose size is less than 1 micrometer such as the pores in the organic matrix of shale. Experimental studies showed the fluid flux deviated from what is calculated using Darcy’s law, and they believed the interaction between fluid particles and the solid pore wall leads to this deviation. Generally speaking, there are three types of non-Darcy flow: high-velocity Forchheimer flow (Shao et al., 2020a), gas slippage with Knudsen diffusion (Li et al., 2020), and low-velocity non-Darcy flow of liquid (Hansbo, 1997; Li et al., 2016). For this work, only the last type was considered. Besides the fluid flux, in double porosity fractured media, the leakage parameter exhibits a similar behavior, which is also caused by the aforementioned interaction. Therefore, without causing any confusion, the term “non-Darcy flow” applies to both the flow through nanoporous matrix and the mass exchange.

Anisotropy is a ubiquitous property of natural geomaterials such as shale (Liao and Yang, 2021; Liao et al., 2021). In other words, the material properties show directional dependence (Zhu et al., 2020). A very special case of anisotropy is transverse isotropy, in which there exists a plane of isotropy defined by its normal vector (Xiao and Yue, 2020). Transverse isotropy could affect both mechanical properties and fluid flow properties (Baker and Chen, 2020; Chen and Baker, 2021; Zhang and Wang, 2021). Therefore, it is necessary to build a comprehensive coupled flow and deformation model encapsulating these essential features.

In this work, we develop a framework for hydromechanical modeling of double porosity media with strong permeability contrast in which fluid flow through the micro-fracture network continuum exhibits transverse isotropy while fluid flow through the porous matrix continuum follows a low-velocity non-Darcy law. The framework is comprised of conservation laws, constitutive laws, and the finite element formulation. Finally, the framework is applied to a practical example to demonstrate the impacts of double porosity, transverse isotropy, and non-Darcy flow.

## 2 Conservation laws

### 2.1 Balance of mass

For double porosity media, the balance of mass equations start from the fundamental/primitive forms (Coussy, 2003):

$$\frac{\partial \rho^s}{\partial t} + \nabla \cdot [\rho_s (1 - \phi) \vec{v}_s] = 0, \quad (1)$$

$$\frac{\partial (\rho_{f1} \phi_1)}{\partial t} + \nabla \cdot (\rho_{f1} \phi_1 \vec{v}_1) = \rho_f \gamma (p_2 - p_1), \quad (2)$$

$$\frac{\partial (\rho_{f2} \phi_2)}{\partial t} + \nabla \cdot (\rho_{f2} \phi_2 \vec{v}_2) = \rho_f \gamma (p_1 - p_2), \quad (3)$$

where  $\rho^s = \rho_s(1 - \phi)$  is the partial density of the solid material,  $\rho_s$  is the intrinsic density of the solid material,  $\vec{v}_s$  is the velocity of the solid skeleton,  $\phi = \phi_1 + \phi_2$  is the total porosity,  $\phi_1$  and  $\phi_2$  are individual porosities for two continua,  $\rho_{f1}$  and  $\rho_{f2}$  are fluid densities in two continua,  $\vec{v}_1$  and  $\vec{v}_2$  are intrinsic flow velocities in two continua,  $p_1$  and  $p_2$  are fluid pressures in two continua,  $\rho_f$  is the reference fluid density, and  $\gamma$  is the leakage parameter. Here the subscript “1” represents the porous matrix continuum and the subscript “2” represents the micro-fracture network continuum.

Next, by adopting the material time derivative of the solid motion and barotropic flows, above three equations could be rewritten as:

$$\frac{1 - \phi}{K_s} \frac{dp_s}{dt} - \frac{d\phi}{dt} + (1 - \phi) \nabla \cdot \vec{v}_s = 0, \quad (4)$$

$$\frac{\phi_1}{K_{f1}} \frac{dp_1}{dt} + \frac{d\phi_1}{dt} + \phi_1 \nabla \cdot \vec{v}_s + \frac{1}{\rho_{f1}} \nabla \cdot (\rho_{f1} \vec{q}_1) = (\rho_f / \rho_{f1}) \gamma (p_2 - p_1). \quad (5)$$

$$\frac{\phi_2}{K_{f2}} \frac{dp_2}{dt} + \frac{d\phi_2}{dt} + \phi_2 \nabla \cdot \vec{v}_s + \frac{1}{\rho_{f2}} \nabla \cdot (\rho_{f2} \vec{q}_2) = (\rho_f / \rho_{f2}) \gamma (p_1 - p_2). \quad (6)$$

where  $K_s$  is the bulk modulus of the solid material,  $p_s$  is the solid pressure,  $K_{f1}$  and  $K_{f2}$  are fluid compressibilities,  $\vec{q}_1$  and  $\vec{q}_2$  are superficial Darcy velocities defined as  $\vec{q}_1 = \phi_1 (\vec{v}_1 - \vec{v}_s)$  and  $\vec{q}_2 = \phi_2 (\vec{v}_2 - \vec{v}_s)$ .

In order to further simplify Eq. (4), a function  $\Gamma$  was proposed such that it satisfies:

$$\Gamma(p_s, \phi, p) = 0, \quad (7)$$

where  $p = \chi p_1 + (1 - \chi) p_2$  represents a weighted average fluid pressure ( $0 \leq \chi \leq 1$ ). By taking time derivative on both sides of Eq. (7):

$$\frac{\partial \Gamma}{\partial p_s} \frac{dp_s}{dt} + \frac{\partial \Gamma}{\partial \phi} \frac{d\phi}{dt} + \frac{\partial \Gamma}{\partial p} \frac{dp}{dt} = 0. \quad (8)$$

By combining Eq. (4) with Eq. (8):

$$\left[ 1 + \frac{1 - \phi}{K_s} \frac{\partial \Gamma / \partial \phi}{\partial \Gamma / \partial p_s} \right] \frac{d\phi}{dt} = (1 - \phi) \nabla \cdot \vec{v}_s - \frac{1 - \phi}{K_s} \frac{\partial \Gamma / \partial p}{\partial \Gamma / \partial p_s} \frac{dp}{dt}. \quad (9)$$

Suppose that a  $\Gamma$  exists such that it satisfies following two equations:

$$\frac{\partial \Gamma}{\partial p} + \frac{\partial \Gamma}{\partial p_s} = 0, \quad (10)$$

$$\frac{(1 - \phi)^2 K_s \mathcal{A}}{K_s + (1 - \phi) \mathcal{A}} = K, \quad (11)$$

where  $K$  is the drained bulk modulus and

$$\mathcal{A} = \frac{\partial \Gamma / \partial \phi}{\partial \Gamma / \partial p_s}. \quad (12)$$

Eq. (9) can be simplified into:

$$\frac{d\phi}{dt} = \left( 1 - \frac{K}{K_s} - \phi \right) \nabla \cdot \vec{v}_s + \frac{1}{K_s} \left( 1 - \frac{K}{K_s} - \phi \right) \frac{dp}{dt}. \quad (13)$$

The familiar Biot coefficient can be identified as (Cheng, 2016; Shao et al., 2021):

$$\alpha = 1 - \frac{K}{K_s}. \quad (14)$$

In the special case of  $K_{f1} = K_{f2} = K_f$  and  $p_1 = p_2 = p$ , Eqs. (5)(6)(13) can be combined to obtain the so-called Biot modulus  $M$  (Cheng, 2016) in this novel way:

$$\frac{1}{M} = \frac{\phi}{K_f} + \frac{\alpha - \phi}{K_s}. \quad (15)$$

Now the question is whether this  $\Gamma$  exists? The answer is yes and you can verify the following  $\Gamma$  satisfies Eqs. (10)(11):

$$\Gamma = K_s \ln \left( \frac{1 - \phi}{1 - \frac{K}{K_s} - \phi} \right) + p_s - p - K_s \ln \left( \frac{1 - \phi_0}{1 - \frac{K}{K_s} - \phi_0} \right) - p_s^0 + p^0. \quad (16)$$

The last three terms on the right-hand side make  $\Gamma = 0$  at  $t = 0$ . When  $K_s \rightarrow +\infty$ , the limit of  $\Gamma$  is given as:

$$\lim_{K_s \rightarrow +\infty} \Gamma = \frac{K}{1 - \phi} + p_s - p - \frac{K}{1 - \phi_0} - p_s^0 + p^0. \quad (17)$$

In the following context, several stronger assumptions are made such that  $K_s = K_{f1} = K_{f2} = +\infty$ ,  $\rho_{f1} = \rho_{f2} = \rho_f$ , and the internal structure of the double porosity media is not evolved (Zhang, 2020) due to a lack of the experimental evidence, *i.e.*,  $\phi_1/(\phi_1 + \phi_2)$  is a constant. Under these assumptions, Eqs. (5)(6) can be rewritten as:

$$\alpha_1 \nabla \cdot \vec{v}_s + \nabla \cdot \vec{q}_1 = \gamma (p_2 - p_1), \quad (18)$$

$$\alpha_2 \nabla \cdot \vec{v}_s + \nabla \cdot \vec{q}_2 = \gamma (p_1 - p_2), \quad (19)$$

where  $\alpha_1 = \phi_1/(\phi_1 + \phi_2)$  and  $\alpha_2 = 1 - \alpha_1$  are known as double porosity Biot coefficients.

## 2.2 Balance of linear momentum

The balance of linear momentum is formulated with respect to the bulk material and it is given as:

$$\nabla \cdot \boldsymbol{\sigma} + \rho \vec{g} = \vec{0}, \quad (20)$$

where  $\boldsymbol{\sigma}$  is the total stress tensor of the bulk material,  $\rho = \rho_s (1 - \phi_1 - \phi_2) + \rho_f (\phi_1 + \phi_2)$  is the bulk density, and  $\vec{g}$  is the gravity acceleration vector. The total stress tensor  $\boldsymbol{\sigma}$  contains contributions from fluid pressures  $p_1$  and  $p_2$ , and we use the following effective stress principle to decompose  $\boldsymbol{\sigma}$ :

$$\boldsymbol{\sigma}' = \boldsymbol{\sigma} + \alpha_1 p_1 \mathbf{1} + \alpha_2 p_2 \mathbf{1}, \quad (21)$$

where  $\boldsymbol{\sigma}'$  is known as the effective stress tensor and it purely depends on the deformation field,  $\mathbf{1}$  is the second-order identity tensor.

### 3 Constitutive laws

To close the boundary value problem, constitutive relations are needed. The number of required constitutive relations could be identified from the thermodynamics of porous media (Coussy, 2003).

Based on the theory of linear elasticity,  $\boldsymbol{\sigma}'$  is linearly related to the strain tensor  $\boldsymbol{\epsilon}$  as (Villamor Lora et al., 2016) (assuming 3D, thus  $z$  axis corresponds to the vertical direction, and a slight modification is needed for the 2D case in which  $y$  axis corresponds to the vertical direction):

$$\begin{Bmatrix} \sigma'_x \\ \sigma'_y \\ \sigma'_z \\ \tau'_{xy} \\ \tau'_{xz} \\ \tau'_{yz} \end{Bmatrix} = \begin{bmatrix} \frac{1}{E_h} & -\frac{\nu_{hh}}{E_h} & -\frac{\nu_{vh}}{E_v} & & & \\ -\frac{\nu_{hh}}{E_h} & \frac{1}{E_h} & -\frac{\nu_{vh}}{E_v} & & & \\ -\frac{\nu_{hh}}{E_h} & -\frac{\nu_{hv}}{E_h} & \frac{1}{E_v} & & & \\ & & & \frac{2(1+\nu_{hh})}{E_h} & & \\ & & & & \frac{1}{G_{vh}} & \\ & & & & & \frac{1}{G_{vh}} \end{bmatrix}^{-1} \begin{Bmatrix} \epsilon_x \\ \epsilon_y \\ \epsilon_z \\ 2\epsilon_{xy} \\ 2\epsilon_{xz} \\ 2\epsilon_{yz} \end{Bmatrix}, \quad (22)$$

where all the constitutive parameters  $E_v$ ,  $E_h$ ,  $G_{vh}$ ,  $\nu_{hh}$ ,  $\nu_{hv}$ , and  $\nu_{vh}$  are measurable in lab experiments (note  $\nu_{vh}/E_v = \nu_{hv}/E_h$ ), and their physical meanings are given in Villamor Lora et al. (2016). Above equation can be briefly written as  $\{\sigma'\} = C^e\{\epsilon\}$  where  $C^e$  is known as the  $6 \times 6$  stiffness matrix and it is the Voigt form of the fourth-order elastic tensor  $\mathbb{C}^e$ .

The flow in the micro-fracture network continuum follows Darcy's law, which is given by the linear relation:

$$\vec{q}_2 = -\frac{\mathbf{k}_2}{\mu_f} \cdot (\nabla p_2 - \rho_f \vec{g}), \quad (23)$$

where  $\mathbf{k}_2$  is the equivalent fracture permeability (Zhang et al., 2021) that takes the form:

$$\mathbf{k}_2 = k_{\min} \vec{n}_2 \otimes \vec{n}_2 + k_{\max} (\mathbf{1} - \vec{n}_2 \otimes \vec{n}_2). \quad (24)$$

This mathematical expression characterizes a transversely isotropic material with respect to fluid flow.

As for the porous matrix continuum,  $\vec{q}_1$  follows the low-velocity non-Darcy flow of liquid that can be expressed as a nonlinear function of  $\vec{\varphi} = \nabla p_1 - \rho_f \vec{g}$ . Here we extend the scalar equation proposed by Hansbo (1997) to the vectorial form in 3D together with a no flow part. The equation is given as follows:

$$(\vec{q}_1)_j = \begin{cases} 0 & |\varphi_j| < \lambda_{\min}, \\ -\frac{k_1 (|\varphi_j| - \lambda_{\min})^\xi}{\mu_f \xi \delta^{\xi-1}} \text{sgn}(\varphi_j) & \lambda_{\min} \leq |\varphi_j| \leq \lambda_{\max}, \\ -\frac{k_1}{\mu_f} \left( |\varphi_j| - \lambda_{\min} - \frac{\xi-1}{\xi} \delta \right) \text{sgn}(\varphi_j) & |\varphi_j| > \lambda_{\max}, \end{cases} \quad (25)$$

where the physical meanings of all parameters can be found in Zhang et al. (2021). Later in the finite element formulation, the construction of Jacobian matrix requires the expression for  $\partial \vec{q}_1 / \partial \vec{\varphi}$ ,

which is a  $n_{\text{dim}} \times n_{\text{dim}}$  diagonal matrix, and the diagonal element is given as ( $j = 1, \dots, n_{\text{dim}}$ ):

$$\left(\frac{\partial \vec{q}_1}{\partial \vec{\varphi}}\right)_{jj} = \frac{\partial (\vec{q}_1)_j}{\partial \varphi_j} = \begin{cases} 0 & |\varphi_j| < \lambda_{\min}, \\ -\frac{k_1 (|\varphi_j| - \lambda_{\min})^{\xi-1}}{\mu_f \delta^{\xi-1}} & \lambda_{\min} \leq |\varphi_j| \leq \lambda_{\max}, \\ -\frac{k_1}{\mu_f} & |\varphi_j| > \lambda_{\max}. \end{cases} \quad (26)$$

While the flux of fluid through the porous matrix continuum may be lower than that estimated from the Darcy's law due to boundary layer effects, the leakage parameter  $\gamma$  could also change with  $\vec{\varphi}$ . From [Khalili et al. \(1999\)](#),  $\gamma$  is closely related to the apparent permeability of the matrix continuum given in Eq. (26). Therefore,  $\gamma$  should be a piecewise function with three stages, separated by  $\lambda_{\min}$  and  $\lambda_{\max}$ . Under the situations of Darcy flow ( $x > \lambda_{\max}$ ) and no-flow ( $x < \lambda_{\min}$ ),  $\gamma$  should be a constant. In the middle transition stage, the cosine function could make the first-order derivative  $\gamma'$  still continuous at  $\lambda_{\min}$  and  $\lambda_{\max}$ . The exponent parameter  $\xi$  is also included in the cosine term. The final form of  $\gamma$  is given as:

$$\gamma = \begin{cases} \gamma_{\min} & \varphi_{\max} < \lambda_{\min}, \\ \frac{\gamma_{\max} + \gamma_{\min}}{2} + \frac{\gamma_{\min} - \gamma_{\max}}{2} \cos \left[ \pi \left( \frac{\varphi_{\max} - \lambda_{\min}}{\delta} \right)^{\xi} \right] & \lambda_{\min} \leq \varphi_{\max} \leq \lambda_{\max}, \\ \gamma_{\max} & \varphi_{\max} > \lambda_{\max}, \end{cases} \quad (27)$$

where  $\gamma_{\max}$  and  $\gamma_{\min}$  are the maximum and minimum leakage parameters, respectively, and

$$\varphi_{\max} = \max_{j \in \{1, \dots, n_{\text{dim}}\}} |\varphi_j|. \quad (28)$$

Note that when  $\gamma_{\max} = \gamma_{\min}$ , the leakage parameter  $\gamma$  becomes a trivial constant as in the Warren and Root model ([Warren and Root, 1963](#)). A sketch of  $\vec{q}_1$  and  $\gamma$  is given in Figure 1, which clearly shows differences between Darcy and non-Darcy types of flow behaviors.

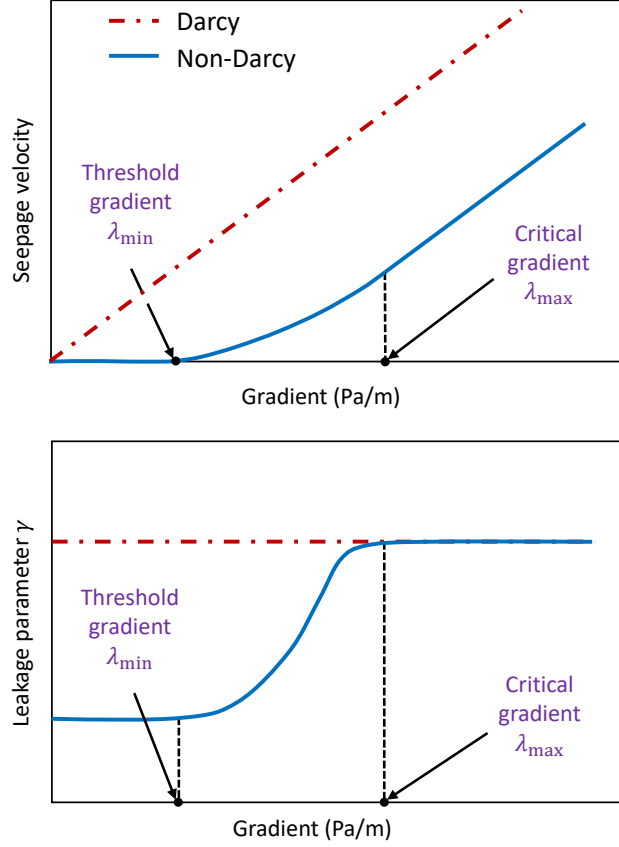
In the following numerical simulation, the values of  $\lambda_{\min}$ ,  $\lambda_{\max}$ , and  $\xi$  are user-defined (assumed), while the leakage parameter  $\gamma$  is estimated based on the empirical formula ([Sarma and Aziz, 2006](#)):

$$\gamma \approx \frac{\sigma_{\text{sh}} k_1}{l_c^2 \mu_f}, \quad (29)$$

where  $\sigma_{\text{sh}}$  is known as the shape factor constant ([Ranjbar and Hassanzadeh, 2011](#); [Sarma and Aziz, 2006](#)) that is dimensionless,  $l_c$  is the characteristic length of the matrix block (cut by micro-fracture network) that should be much smaller than the domain size. In this work,  $\sigma_{\text{sh}}$  takes values suggested by [Kazemi et al. \(1976\)](#):  $\sigma_{\text{sh}} = 8$  for 2D and  $\sigma_{\text{sh}} = 12$  for 3D.

## 4 Finite element formulation

The Galerkin method is employed to develop the finite element (FEM) formulation of the coupled flow and deformation problem presented earlier. Here all the symbols are written in the normal font. Without loss of generality, the formulation is in 3D. In FEM,  $u \in \mathbb{R}^3 = N_u d$ ,  $p_1 \in \mathbb{R} = N_p r_1$ , and  $p_2 \in \mathbb{R} = N_p r_2$ , where  $N_u \in \mathbb{R}^{3 \times n}$  is the shape function matrix for the displacement field,  $d \in \mathbb{R}^n$



**Figure 1:** Type curves of non-Darcy flow and leakage parameter (Zhao et al., 2020). The scale qualification for these nonlinear behaviors would be the same as that for the non-Darcy flow of shale gas.

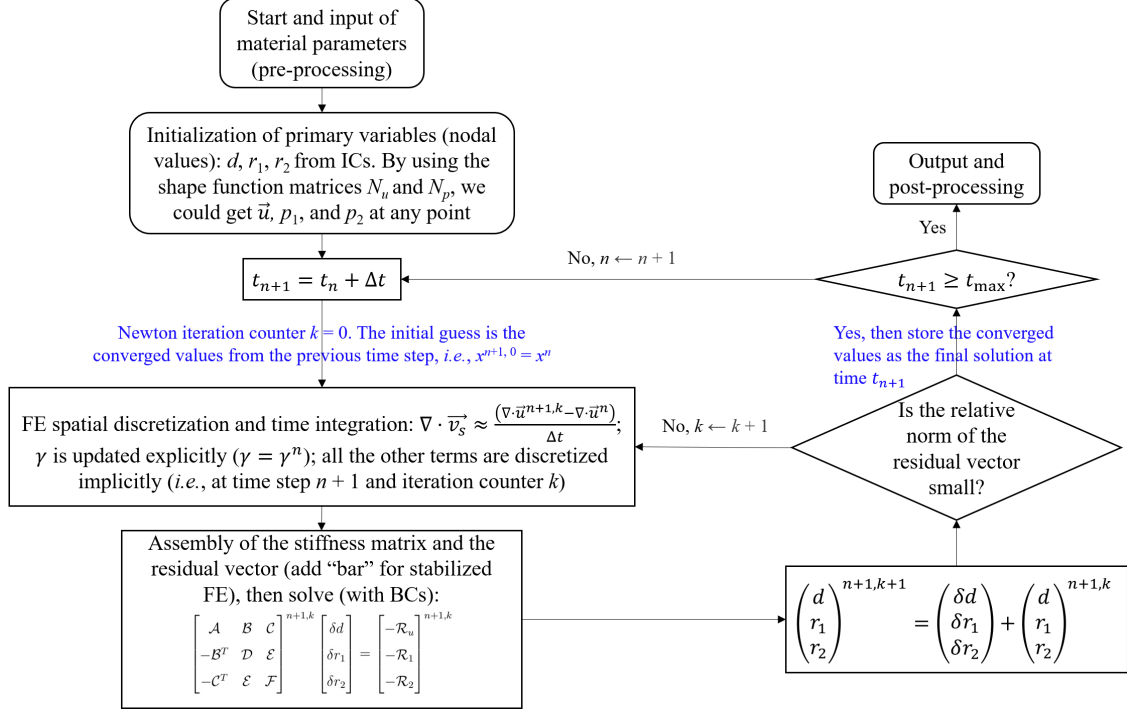
is the nodal displacement vector,  $N_p \in \mathbb{R}^{1 \times m}$  is the shape function matrix for both fluid pressures  $p_1$  and  $p_2$ ,  $r_1 \in \mathbb{R}^m$  and  $r_2 \in \mathbb{R}^m$  are the nodal fluid pressure vectors. Here  $d$ ,  $r_1$ , and  $r_2$  are to be determined. After spatial discretization and time integration,  $(n + 2m)$  fully coupled algebraic residual equations were obtained:

$$\mathcal{R}_u = \int_{\Omega} B^T (\sigma' - \alpha_1 p_1 \tilde{\mathbf{I}} - \alpha_2 p_2 \tilde{\mathbf{I}}) \, dV - \int_{\Omega} N_u^T \rho g \, dV + F_{B.C.}, \quad (30)$$

$$\begin{aligned} \mathcal{R}_1 = & \int_{\Omega} \alpha_1 N_p^T \tilde{\mathbf{I}}^T (\epsilon - \epsilon^{[\omega]}) \, dV - \Delta t \int_{\Omega} E^T q_1 \, dV \\ & - \Delta t \int_{\Omega} N_p^T \gamma^{[\omega]} (p_2 - p_1) \, dV + G_{B.C.}, \end{aligned} \quad (31)$$

$$\begin{aligned} \mathcal{R}_2 = & \int_{\Omega} \alpha_2 N_p^T \tilde{\mathbf{I}}^T (\epsilon - \epsilon^{[\omega]}) \, dV - \Delta t \int_{\Omega} E^T q_2 \, dV \\ & - \Delta t \int_{\Omega} N_p^T \gamma^{[\omega]} (p_1 - p_2) \, dV + H_{B.C.}, \end{aligned} \quad (32)$$





**Figure 2:** Schematic of the standard time-dependent FEM implementation. In this flowchart, ICs stand for the initial conditions and BCs stand for the boundary conditions.

where  $B \in \mathbb{R}^{6 \times n}$  is the strain-displacement matrix because the strain “vector”  $\epsilon = Bd$  where  $\epsilon = [\epsilon_{xx}, \epsilon_{yy}, \epsilon_{zz}, 2\epsilon_{xy}, 2\epsilon_{xz}, 2\epsilon_{yz}]^T \in \mathbb{R}^6$ ,  $\sigma' = [\sigma'_{xx}, \sigma'_{yy}, \sigma'_{zz}, \sigma'_{xy}, \sigma'_{xz}, \sigma'_{yz}]^T \in \mathbb{R}^6$  is the effective stress “vector”,  $\tilde{1} = [1, 1, 1, 0, 0, 0]^T$  is the Voigt form of the second-order identity tensor,  $g \in \mathbb{R}^3$  is the gravity acceleration vector,  $F_{B.C.} \in \mathbb{R}^{n \times 1}$  represents the contributions from the mechanical traction boundary conditions,  $\epsilon^{[\omega]}$  is the strain “vector” at the previous time step. Here the superscript for quantities at the current time step and iteration counter was omitted.  $\Delta t = t^{[\omega+1]} - t^{[\omega]}$  is the time step size,  $E \in \mathbb{R}^{3 \times m} = \nabla N_p$  leads to  $\nabla p_1 = Er_1$  and  $\nabla p_2 = Er_2$ ,  $q_1 \in \mathbb{R}^3$  and  $q_2 \in \mathbb{R}^3$  are the superficial velocity vectors,  $\gamma^{[\omega]}$  is the leakage parameter at the previous time step,  $G_{B.C.} \in \mathbb{R}^{m \times 1}$  and  $H_{B.C.} \in \mathbb{R}^{m \times 1}$  represent the contributions from the flux boundary conditions. Now the tangent operator (or Jacobian, for short)  $\mathcal{K} \in \mathbb{R}^{(n+2m) \times (n+2m)}$  is:

$$\underbrace{\begin{bmatrix} \mathcal{A} & \mathcal{B} & \mathcal{C} \\ -\mathcal{B}^T & \mathcal{D} & \mathcal{E} \\ -\mathcal{C}^T & \mathcal{E} & \mathcal{F} \end{bmatrix}}_{\mathcal{K}} \begin{bmatrix} \delta d \\ \delta r_1 \\ \delta r_2 \end{bmatrix} = \begin{bmatrix} -\mathcal{R}_u \\ -\mathcal{R}_1 \\ -\mathcal{R}_2 \end{bmatrix}. \quad (33)$$

The block matrices  $\mathcal{A}$  to  $\mathcal{F}$  are given as:

$$\mathcal{A} = \int_{\Omega} B^T C^e B \, dV, \quad (34)$$

$$\mathcal{B} = - \int_{\Omega} \alpha_1 B^T \tilde{1} N_p \, dV, \quad (35)$$

$$\mathcal{C} = - \int_{\Omega} \alpha_2 B^T \tilde{\mathbf{1}} N_p \, dV, \quad (36)$$

$$\mathcal{D} = -\Delta t \int_{\Omega} E^T \frac{\partial \vec{q}_1}{\partial \vec{\varphi}} E \, dV + \Delta t \int_{\Omega} N_p^T \gamma^{[\omega]} N_p \, dV, \quad (37)$$

$$\mathcal{E} = -\Delta t \int_{\Omega} N_p^T \gamma^{[\omega]} N_p \, dV, \quad (38)$$

$$\mathcal{F} = \Delta t \int_{\Omega} E^T \frac{k_2}{\mu_f} E \, dV + \Delta t \int_{\Omega} N_p^T \gamma^{[\omega]} N_p \, dV, \quad (39)$$

where  $k_2 \in \mathbb{R}^{3 \times 3}$  is  $\mathbf{k}_2$  written in  $3 \times 3$  matrix form,  $-\partial \vec{q}_1 / \partial \vec{\varphi} \in \mathbb{R}^{3 \times 3}$  is known as the tangential mobility of the porous matrix continuum whose expression is already given in Eq. (26).

If we adopt equal-order linear interpolations of the displacement field and two pressure fields, the stabilization scheme should be considered. The stabilized residual equations  $\bar{\mathcal{R}}_1$  and  $\bar{\mathcal{R}}_2$  are:

$$\bar{\mathcal{R}}_1 = \mathcal{R}_1 + \int_{\Omega} \frac{\tau \alpha_1}{2\bar{G}} [N_p^T - \Pi(N_p^T)] [\bar{p} - \bar{p}^{[\omega]} - \Pi(\bar{p} - \bar{p}^{[\omega]})] \, dV, \quad (40)$$

$$\bar{\mathcal{R}}_2 = \mathcal{R}_2 + \int_{\Omega} \frac{\tau \alpha_2}{2\bar{G}} [N_p^T - \Pi(N_p^T)] [\bar{p} - \bar{p}^{[\omega]} - \Pi(\bar{p} - \bar{p}^{[\omega]})] \, dV, \quad (41)$$

$$\bar{G} = \frac{1}{3} \left[ \frac{E_h}{2(1 + \nu_{hh})} + 2G_{vh} \right], \quad (42)$$

where  $\tau$  is the stabilization constant (on the order of 1) that is used to “tune” the level of stabilization (Yan et al., 2020, 2021)<sup>1</sup>,  $\bar{G}$  is the generalized shear modulus,  $\bar{p} = \alpha_1 p_1 + \alpha_2 p_2$  is the weighted pressure (a scalar),  $\bar{p}^{[\omega]}$  is  $\bar{p}$  at the previous time step, and the projection operator  $\Pi(\cdot)$  is defined as:

$$\Pi(\cdot) = \frac{1}{V_e} \int_{\Omega_e} (\cdot) \, dV, \quad (43)$$

where  $V_e$  and  $\Omega_e$  denote the volume and domain of an element, respectively. That’s to say, this operator projects a given field to its average value within the element. Due to  $\bar{\mathcal{R}}_1$  and  $\bar{\mathcal{R}}_2$ , the stabilization of the Jacobian  $\mathcal{K}$  was also needed:

$$\bar{\mathcal{D}} = \mathcal{D} + \int_{\Omega} \frac{\tau \alpha_1^2}{2\bar{G}} [N_p^T - \Pi(N_p^T)] [N_p - \Pi(N_p)] \, dV, \quad (44)$$

$$\bar{\mathcal{E}} = \mathcal{E} + \int_{\Omega} \frac{\tau \alpha_1 \alpha_2}{2\bar{G}} [N_p^T - \Pi(N_p^T)] [N_p - \Pi(N_p)] \, dV, \quad (45)$$

$$\bar{\mathcal{F}} = \mathcal{F} + \int_{\Omega} \frac{\tau \alpha_2^2}{2\bar{G}} [N_p^T - \Pi(N_p^T)] [N_p - \Pi(N_p)] \, dV. \quad (46)$$

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<sup>1</sup>A single  $\tau$  would be enough for both  $\bar{\mathcal{R}}_1$  and  $\bar{\mathcal{R}}_2$  because the weak inf-sup condition is imposed on  $\bar{p}$ .

Consequently, the modified tangent operator  $\bar{\mathcal{K}}$  satisfies:

$$\underbrace{\begin{bmatrix} \mathcal{A} & \mathcal{B} & \mathcal{C} \\ -\mathcal{B}^T & \bar{\mathcal{D}} & \bar{\mathcal{E}} \\ -\mathcal{C}^T & \bar{\mathcal{E}} & \bar{\mathcal{F}} \end{bmatrix}}_{\bar{\mathcal{K}}} \begin{bmatrix} \delta d \\ \delta r_1 \\ \delta r_2 \end{bmatrix} = \begin{bmatrix} -\mathcal{R}_u \\ -\bar{\mathcal{R}}_1 \\ -\bar{\mathcal{R}}_2 \end{bmatrix}. \quad (47)$$

A complete and brief FEM implementation is summarized in Figure 2.

## 5 Strip load on a double porosity medium

### 5.1 Problem description

A 2D rectangular double porosity medium 10 m wide and 5 m deep is shown in Figure 3. Here a full mesh instead of a half mesh was used to define the computational domain because  $\mathbf{k}_2$  may not be isotropic. For the problem initialization, a preloading stage generates steady state fluid pressures  $p_1 = p_2 = \rho_f g (H - y)$  and initial preloading settlement induced by gravity, where  $H$  is the depth (here is 5 m). Then we apply the strip load  $p_0 = 150$  kPa instantaneously, which leads to excess pressures. As time goes on, the excess parts will finally vanish.

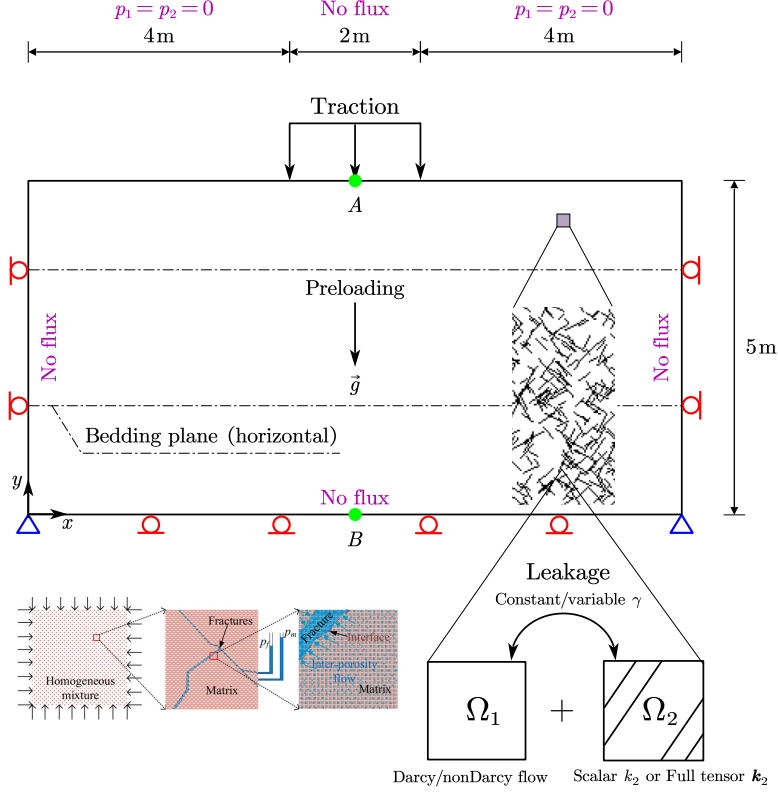
This problem is a simple schematization of the shallow foundation of a structure, using a long strip foundation made of concrete, for instance. In the case of a permanent load applied to this shallow foundation, it can be expected that pore pressures will be developed below the foundation, and these pore pressures will dissipate in course of time due to the fact that pore water is expelled from the soil. In some clays, this may take a certain time, due to the small permeability. This process is called consolidation. In our situation, there are both horizontal flow and vertical flow, so it is a 2D consolidation problem. Furthermore, the “foundation soil” contains micro-fractures. It will be interesting to explore the consolidation patterns under these special settings.

The boundary conditions are highlighted here. For the bottom boundary ( $y = 0$ ),  $u_y = 0$ ,  $\tau_{xy} = 0$ , and  $q_{1y} = q_{2y} = 0$ . For the two lateral boundaries ( $x = 0$  or  $x = 10$  m),  $u_x = 0$ ,  $\tau_{xy} = 0$ , and  $q_{1x} = q_{2x} = 0$ . For the top boundary ( $y = 5$  m and the unit of  $x$  is m):

$$\left. \begin{aligned} p_1 = p_2 = 0, \sigma_{yy} = \tau_{xy} = 0, & \quad 0 \leq x \leq 4 \\ q_{1y} = q_{2y} = 0, \tau_{xy} = 0, \sigma_{yy} = -p_0, & \quad 4 < x < 6 \\ p_1 = p_2 = 0, \sigma_{yy} = \tau_{xy} = 0, & \quad 6 \leq x \leq 10 \end{aligned} \right\}. \quad (48)$$

### 5.2 Simulation parameters

Three cases are analyzed here. We give the entire parameter set for the first case and mention the differences for the remaining two cases from the first case. The first case can be regarded as the “basic” case: the permeability for the micro-fracture network continuum  $\mathbf{k}_2$  is isotropic and the flow through the porous matrix follows Darcy’s law ( $\xi = 1$ ,  $\lambda_{\min} = 0$ ). The parameters are given as: fluid density  $\rho_f = 1000$  kg/m<sup>3</sup>, fluid viscosity  $\mu_f = 0.001$  Pa · s,  $k_{\max} = k_{\min} = 10^{-10}$  m<sup>2</sup>,  $k_1 = 5 \times 10^{-16}$  m<sup>2</sup>,  $\gamma_{\max} = \gamma_{\min} = 0.24$  GPa<sup>-1</sup> · s<sup>-1</sup> ( $l_c \approx 0.129$  m), initial  $\phi_1$  is  $\phi_1^0 = 0.15$ , initial  $\phi_2$  is  $\phi_2^0 = 0.1$ ,  $\alpha_1 = 0.6$ ,  $\alpha_2 = 0.4$ ,  $\rho_s = 2600$  kg/m<sup>3</sup>,  $E_h = 6670.59$  kPa,  $E_v = 6300$  kPa,  $\nu_{hh} = 0.1$ ,  $\nu_{vh} = 0.15$ , and  $G_{vh} = 2750$  kPa. The second case only makes  $\mathbf{k}_2$  to be transversely isotropic by assigning  $\vec{n}_2 = [-\sin \theta, \cos \theta]^T$ ,  $\theta = \pi/18$ ,  $k_{\max} = 10^{-10}$  m<sup>2</sup>, and  $k_{\min} = 5 \times 10^{-12}$  m<sup>2</sup>. The third



**Figure 3:** Schematic of the plane strain strip load problem: the geometry, boundary, and loading conditions. Gravity is considered in this example. Variation in  $\rho$  due to changes of porosities is assumed to be very small. The origin  $(0, 0)$  coincides with the lower-left corner of the domain.

case assumes  $\xi = 1.3$ ,  $\lambda_{\max} = 19.62 \text{ kPa/m}$ ,  $\lambda_{\min} = 0$ ,  $\gamma_{\max} = 0.24 \text{ GPa}^{-1} \cdot \text{s}^{-1}$ , and  $\gamma_{\min} = 0.1\gamma_{\max}$ , while  $\mathbf{k}_2$  is still isotropic as in the first case.

The constrained modulus  $D_v$  in the vertical direction for this transversely isotropic material is defined as:

$$\frac{1}{D_v} = \frac{1}{E_v} - \frac{2(\nu_{vh}/E_v)^2 E_h}{1 - \nu_{hh}}. \quad (49)$$

We can then calculate the surface displacement  $u_y$  in the preloading stage by using this constrained modulus, and the result is known as  $u_{\text{pre}}$ :

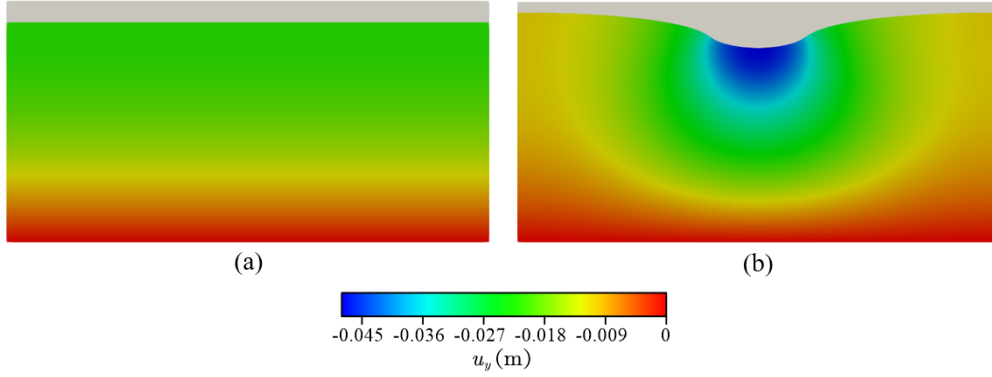
$$u_{\text{pre}} = \frac{\rho_b g H^2}{2D_v}, \quad (50)$$

where  $H$  is the depth (here is 5 m),  $\rho_b$  is the initial buoyant density (subtract the fluid density  $\rho_f$  from the initial total density  $\rho$ , *i.e.*,  $\rho_b = 1200 \text{ kg/m}^3$  in our simulation). The value of  $u_{\text{pre}}$  can be used as a preliminary check of the code implementation. Two different meshes are used in this problem: the fine mesh contains 14676 triangle elements and the normal mesh contains 3146 triangle elements. The element type is quadratic-displacement/linear-pressure element. The

simulation time step is chosen to be logarithmically equally spaced between  $10^0$  s to  $10^4$  s (401 steps). The computation time is about 1 minute on the fine mesh.

### 5.3 Simulation results

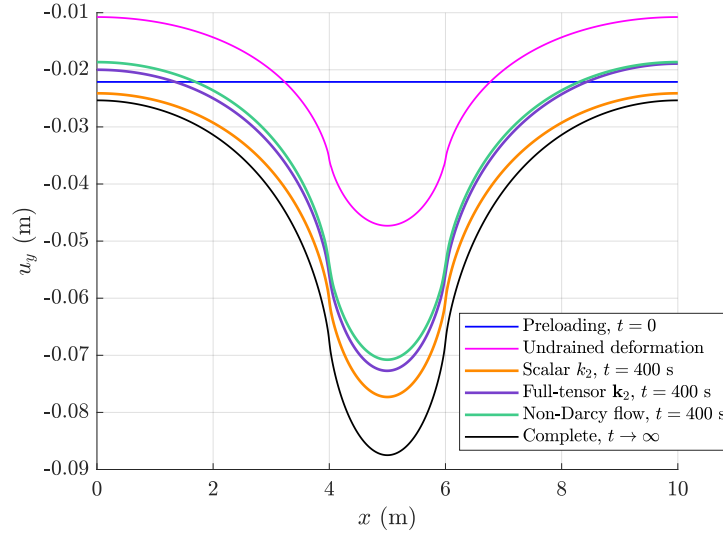
Unless otherwise stated, the results have been verified that they are not mesh-sensitive. In our simulation,  $u_{\text{pre}} = -0.02212059$  m and the instantaneous/immediate undrained deformation are depicted in Figure 4 and Figure 5. Figure 5 also shows deformations for these three cases at one specific time  $t = 400$  s, from which a direct sense of the consolidation degree can be obtained. For instance, both the purple and green curves are above the orange curve, which suggests a faster consolidation rate in the basic case. Besides, the purple curve is unsymmetric due to a transversely isotropic  $\mathbf{k}_2$  and the strong coupling between flow and deformation.



**Figure 4:** (a) Preloading deformation on the deformed mesh (magnification of 20) due to gravity. (b) Immediate undrained deformation (including preloading deformation) on the deformed mesh (magnification of 20) due to strip load. The color bar is from -0.048 m to 0.

Figure 6, Figure 7, and Figure 8 show the undrained responses on the whole computational domain. In these three figures, for the micro-fracture network continuum, we plot the excess pressure  $p_2 - \rho_f g D$  instead of the true pressure  $p_2$ , in order to visualize its change over time (Figure 6). For all three figures, significant difference can be observed between the two pressure fields  $p_1$  and  $p_2$ , both quantitatively and qualitatively. This is different from the typical behaviors of the naturally fractured reservoir at  $t = 0^+$  due to the absence of pressure coupling (Zhang et al., 2021). The porous matrix pressure  $p_1$  is much higher than the pressure  $p_2$  of the micro-fracture network continuum, which is a basic non-equilibrium flow pattern in double porosity media (Zhang, 2020). In fact,  $p_1$  in the vicinity of the strip load is even higher than the magnitude of that load. Thus we could conclude that if you adopt Eqs. (18)(19) to investigate the coupled flow and deformation of double porosity media, you may never observe the stage when  $p_2$  drops rapidly and  $p_1$  remains unchanged. The non-equilibrium flow happens if there exists pressure difference and it promotes fluid mass transfer, thus the dissipation of porous matrix pressure  $p_1$  is mainly through mass transfer while the contribution of flux is tiny.

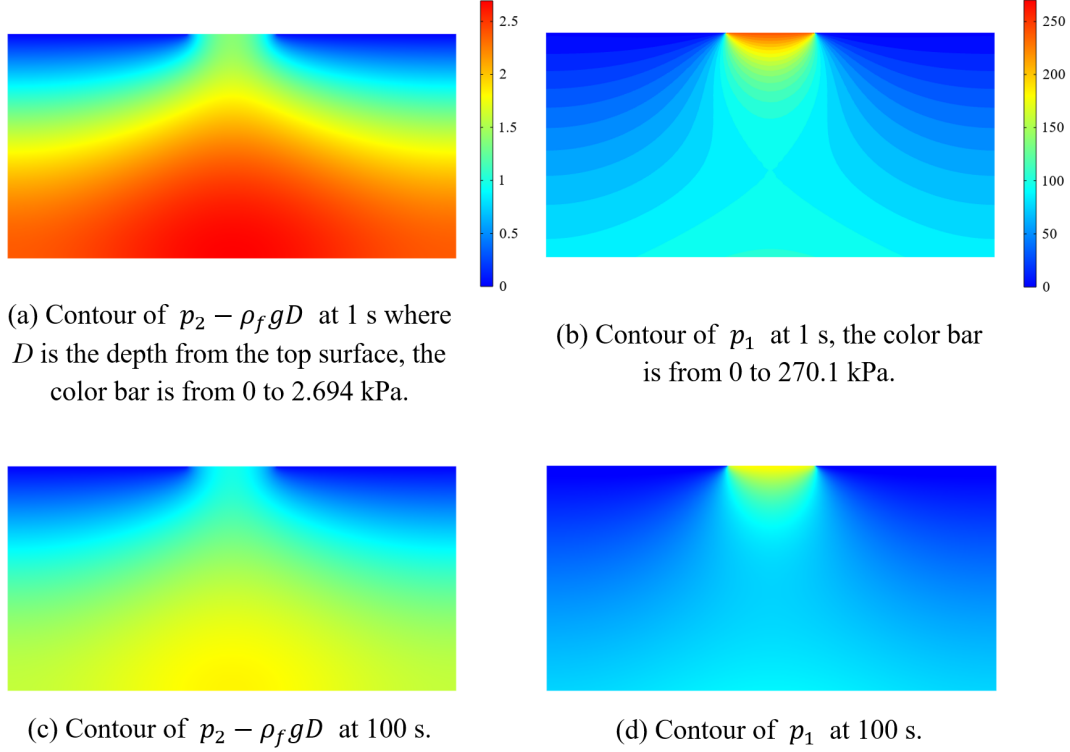
Now we compare the differences among Figure 6, Figure 7, and Figure 8. First, transverse isotropy in  $\mathbf{k}_2$  could change the pattern of  $p_2$  immediately, which is confirmed by Figure 7a. The contour lines are skewed and inclined. Second, in this example, since the drainage path is from the



**Figure 5:** Ground surface displacement  $u_y$  (including preloading displacement).

bottom to the top, the transversely isotropic  $\mathbf{k}_2$  weakens the overall drainage capacity. As a result,  $p_2$  in Figure 7a is higher than that in Figure 6a. Third, for the non-Darcy flow case, the shape of the contour line in Figure 8a is exactly the same as that in Figure 6a with scaled values. Finally, the undrained responses of the weighted pressure  $\bar{p} = \alpha_1 p_1 + \alpha_2 p_2$  are identical among three cases. Since the undrained responses of  $p_2$  are quite different among three cases, it is fair to expect slight differences in the distribution of  $p_1$  at  $t = 0^+$ , which again matches results in Figure 6, Figure 7, and Figure 8.

We are also interested in the pressure dissipations in these three cases. We plot the evolutions of weighted pressure  $\bar{p}$  at one specific point (point B) as well as its average over the whole domain. The results are shown in Figure 9 and Figure 10, which are somehow surprising. First of all, the result of  $\bar{p}$  at point B is mesh-sensitive in the non-Darcy case, while the mesh-sensitivity is not apparent when plotting the average of  $\bar{p}$  over the whole domain. Second, for point B, the shapes of the red curve and the blue curve are quite different from each other. However, in Figure 10, the red curve and the blue curve are close to each other. This characteristic in Figure 10 is consistent with Figure 5 and Figure 11. Therefore, an obvious question would be why in the case of non-Darcy flow, the behavior of  $\bar{p}$  at one point is so “strange”? To answer this important question, we should recall our non-Darcy flow constitutive laws. Since the contribution of flux is tiny, the only possibility would come from the leakage parameter  $\gamma$ . The leakage parameter  $\gamma$  is a function of  $\varphi_{\max}$  by using Eq. (27). Therefore, the “strange” behavior comes from the heterogeneous (non-smooth) pattern of  $\varphi_{\max}$ , and  $\varphi_{\max}$  around point B is rapidly changing with time, as shown in Figure 12. In this figure, in order to analyze the leakage parameter  $\gamma$ , the range of the color bar is from  $\lambda_{\min}/(\rho_f g) = 0$  to  $\lambda_{\max}/(\rho_f g) = 2$ . To summarize, the non-Darcy equation of  $\gamma$  could make the  $p_1$  field not smooth and you might need an extremely fine mesh to resolve this issue (computational prohibitive at this moment). The experimental techniques are also currently not capable to verify the numerical results. Nevertheless, this mesh-sensitivity does not affect the global pressure dissipation and it is



**Figure 6:** The pressure fields when  $k_2$  is isotropic and the flow in the matrix continuum follows Darcy's law.

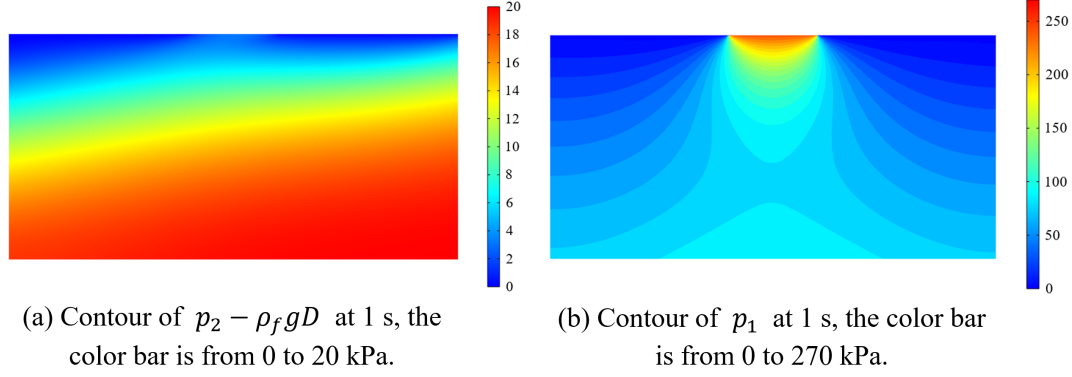
a meaningful attempt to try a dynamic  $\gamma$  in double porosity modeling analogous to the multi-rate mass transfer (MRMT) model (Geiger et al., 2013; Tecklenburg et al., 2016).

## 6 Closure

In this work, the new treatment is the combination of transverse isotropy and non-Darcy flow. Transverse isotropy allows us to capture new excess pressure patterns in the fracture continuum. Furthermore, the dynamic leakage parameter  $\gamma$  could lead to a dramatic change of potential gradient in a local area. Both the transverse isotropy and non-Darcy flow would affect the foundation settlement and global pressure dissipation behaviors. The goal of this work is to accommodate more possible features (on the constitutive level) for future geomechanics simulators and to provide some possible (theoretical) explanations for experimental findings.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.



**Figure 7:** The pressure fields when  $\mathbf{k}_2$  is transversely isotropic and the flow in the matrix continuum follows Darcy’s law.

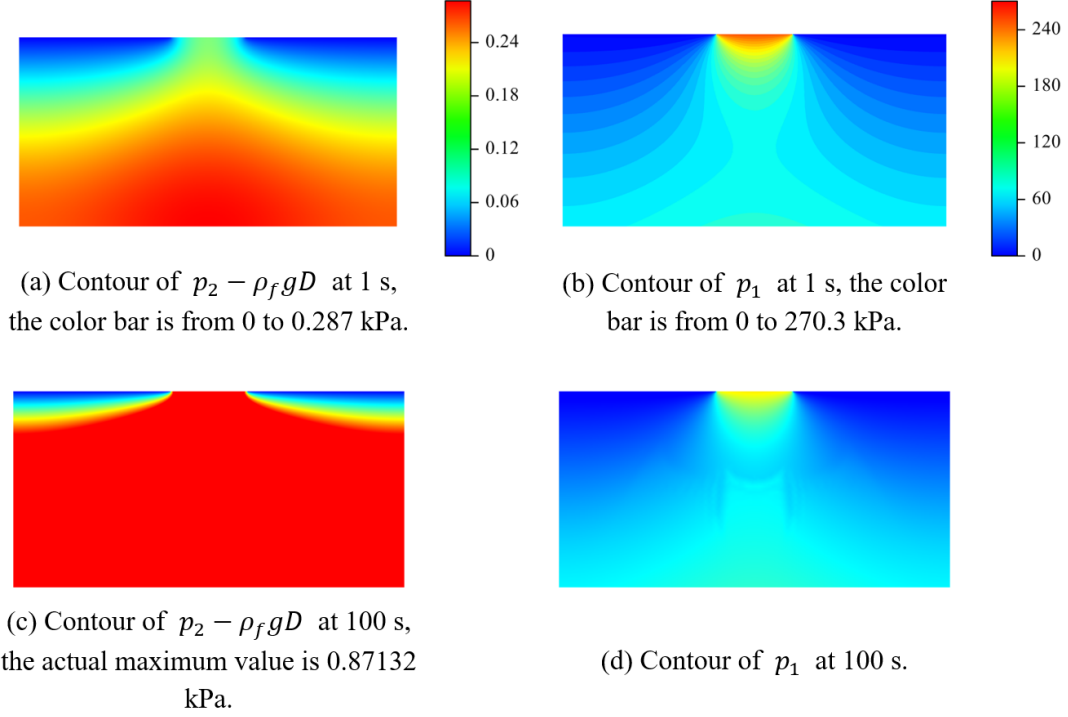
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**Figure 8:** The pressure fields when  $k_2$  is isotropic and the flow in the matrix continuum follows non-Darcy's law.

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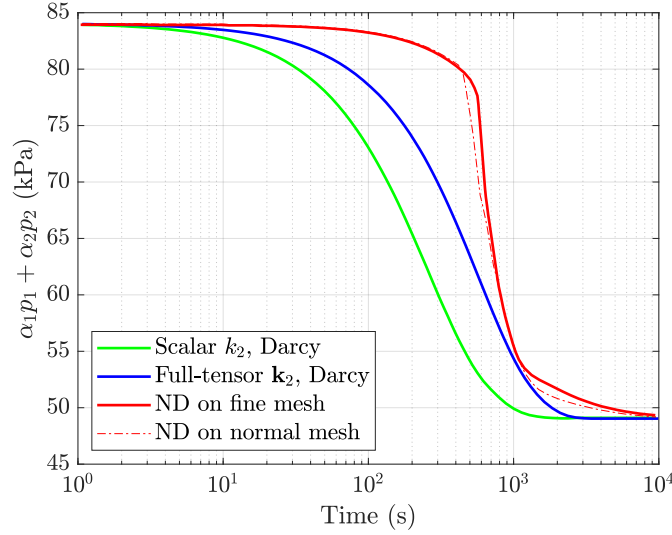
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**Figure 9:** Evolutions of weighted pressure  $\bar{p} = \alpha_1 p_1 + \alpha_2 p_2$  at point B (5, 0) m. “ND” means non-Darcy equation of  $\gamma$ .

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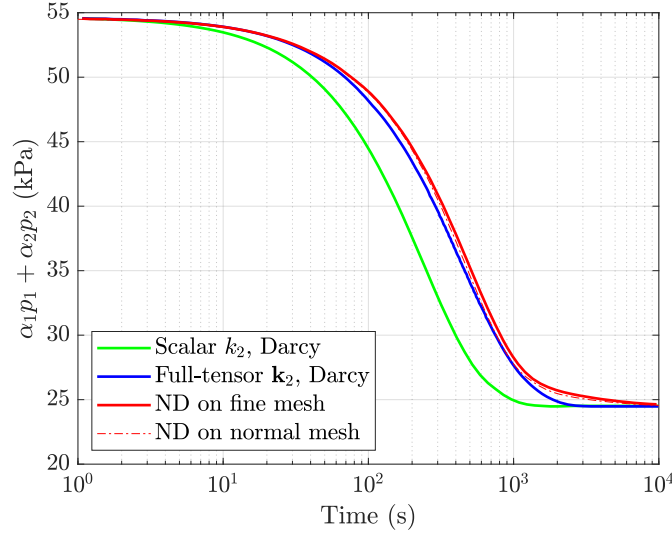
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**Figure 10:** Evolutions of weighted pressure  $\bar{p} = \alpha_1 p_1 + \alpha_2 p_2$  (average over the whole domain). “ND” means non-Darcy equation of  $\gamma$ .

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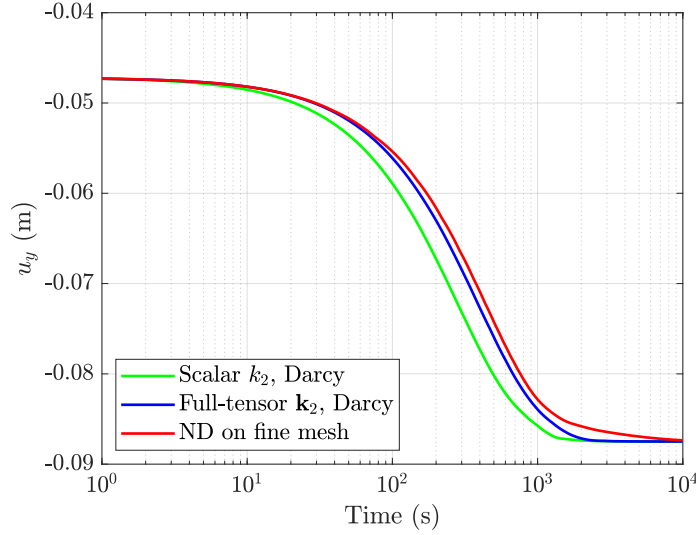
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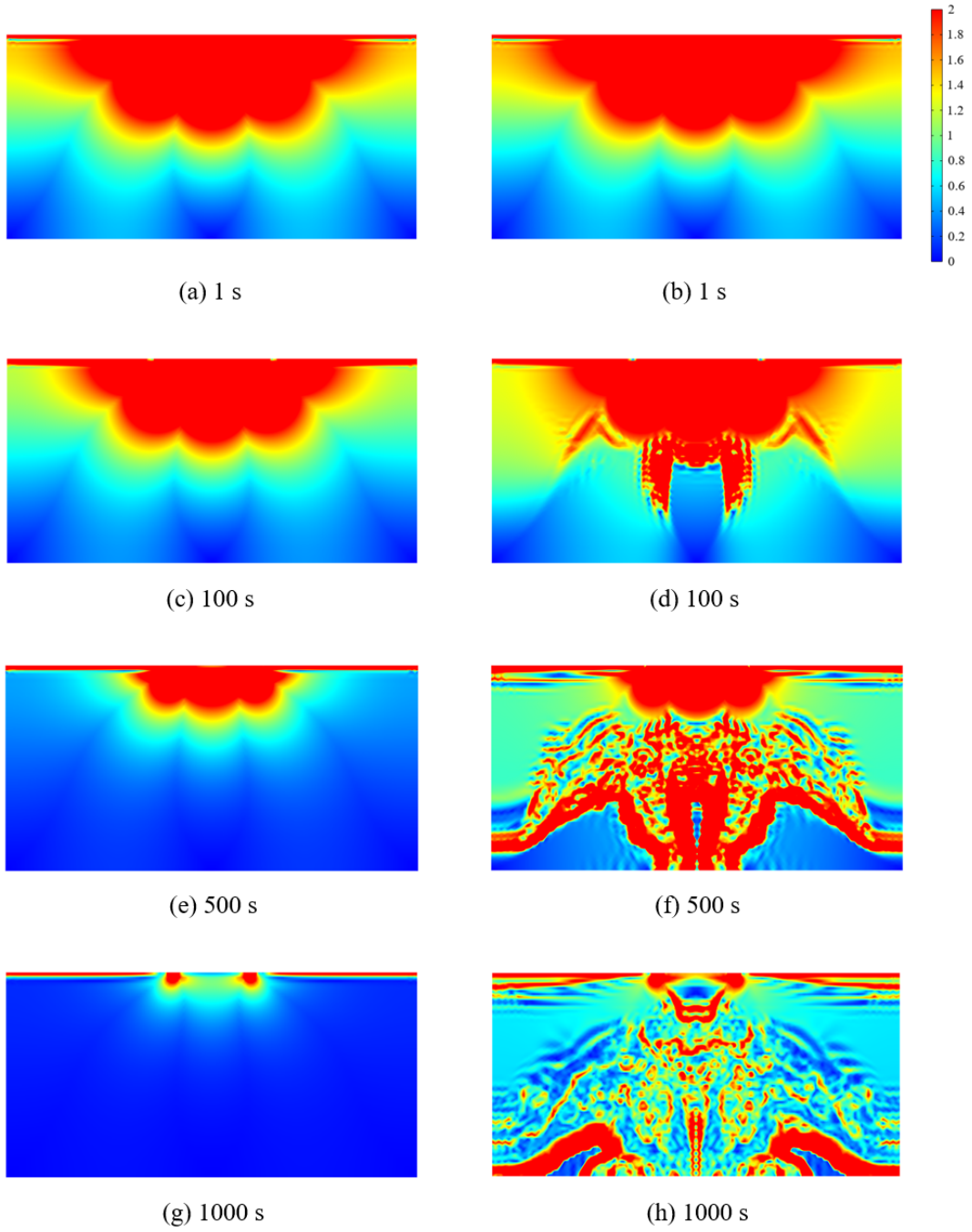
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**Figure 11:** Evolutions of vertical displacement  $u_y$  at point A (5, 5) m. “ND” means non-Darcy equation of  $\gamma$ .

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**Figure 12:** The evolution of  $\varphi_{\max}/(\rho_f g)$  for Darcy flow (left column) and non-Darcy flow (right column, fine mesh).