

On Transforming Imperative Programs into Logically Constrained Term Rewrite Systems via Injective Functions from Configurations to Terms

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On Transforming Imperative Programs into Logically Constrained Term Rewrite Systems via Injective Functions from Configurations to Terms*

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To transform an imperative program into a logically constrained term rewrite system (LCTRS, for short), previous work converts a statement list to rewrite rules in a stepwise manner, and proves the correctness along such a conversion and the big-step semantics of the program. On the other hand, the small-step semantics of a programming language comprises of inference rules that define transition steps of configurations. Partial instances of such inference rules are almost the same as rewrite rules in the transformed LCTRS. In this paper, we aim at establishing a framework for plain definitions and correctness proofs of transformations from programs into LCTRSs. To this end, for the transformation in previous work, we show an injective function from configurations to terms, and reformulate the transformation by means of the injective function. The injective function maps a transition step to a reduction step, and results in a plain correctness proof.

1 Introduction

Recently, approaches to program verification by means of logically constrained term rewrite systems (LCTRSs, for short) [8] are well investigated [4, 12, 2, 9, 5, 6]. LCTRSs are known to be useful as computation models of not only functional but also imperative programs. Especially, equivalence checking by means of LCTRSs is useful to ensure correctness of terminating functions (cf. [4]). Here, equivalence of two functions means that for every input, the functions return the same output or end with the same projection of final configurations. Previous work [4, 5, 6] for sequential programs has been extended to concurrent ones with semaphore-based exclusive control [7]. It is worth extending the transformation of practical programs, e.g., automotive embedded systems. To ensure high-reliability of the verification, we have to prove the correctness of transformations in a high reliable manner, e.g., by *formalizing* them in an interactive theorem prover. On the other hand, plainer but more reliable definitions and pen-and-paper proofs are useful in formalizing them in the theorem prover.

Previous work [5] extends the transformation in [4] to programs written in SIMP⁺, which is an extension of SIMP [3] to global variables and function calls, and shows a pen-and-paper proof for the correctness of the extended transformation under the big-step semantics of SIMP⁺. The correctness proof is very complex compared with the simplicity of SIMP⁺. For the ultimate goal mentioned above, such a complex framework of transformations and their correctness proofs is not desired because we would like to verify more practical and complex programs such as automotive embedded systems by means of LCTRSs. For this reason, a framework for plain definitions and correctness proofs of transformations from imperative programs into LCTRSs would be useful in extending previous work to richer fragments of programming languages.

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In this paper, we aim at establishing a framework for plain definitions and correctness proofs of transformations from imperative programs into LCTRSs. To this end, for the transformation in previous work [5], we show an injective function from configurations of SIMP⁺ to terms (Section 4.1), and reformulate the transformation by means of the injective function (Section 4.2). The injective function maps a transition step defined by the small-step semantics of SIMP⁺ to a reduction step of the transformed LCTRS, and results in a plainer correctness proof (Section 4.3). Missing proofs can be seen in the appendix. This paper does not propose any new transformation, but reformulate the existing one and its correctness proof, which must help us to extend the transformation to more practical programs.

2 Preliminaries

In this section, we briefly recall LCTRSs [8, 4]. Familiarity with term rewriting [1, 10] is assumed.

Let S be a set of *sorts* and V a (countably infinite) set of *variables*, each of which is equipped with a sort. A *signature* Σ disjoint from V is a set of *function symbols* f, each of which is equipped with a *sort declaration* $\iota_1 \times \cdots \times \iota_n \Rightarrow \iota$, written as $f : \iota_1 \times \cdots \times \iota_n \Rightarrow \iota$, where $\iota_1, \ldots, \iota_n, \iota \in S$. In the rest of this section, we fix S, Σ , and V and use them without notice in the paper. We denote the set of well-sorted *terms* over Σ and V by $T(\Sigma, V)$. We may write $s : \iota$ if s has sort ι . The set of variables occurring in s_1, \ldots, s_n is denoted by $\mathcal{V}ar(s_1, \ldots, s_n)$. Given a term s and a *position* p of s, $s|_p$ denotes the subterm of s at position p, and $s[t]_p$ denotes the term obtained from s by replacing the term at position p by t, where the sorts of $s|_p$ and t coincide.

A substitution γ is a sort-preserving total mapping from \mathcal{V} to $T(\Sigma, \mathcal{V})$, and naturally extended for a mapping from $T(\Sigma, \mathcal{V})$ to $T(\Sigma, \mathcal{V})$. The domain $\mathcal{D}om(\gamma)$ of γ is the set of variables x with $\gamma(x) \neq x$, and the range of γ is denoted by $\mathcal{R}an(\gamma)$. The application of γ to term s is denoted by $s\gamma$. The restriction of γ w.r.t. a set X of variables is denoted by $\gamma|_X: \gamma|_X(x) = \gamma(x)$ if $x \in X$, and otherwise $\gamma|_X(x) = x$. For two substitutions γ and θ , their composition $\gamma\theta$ is given by $x(\gamma\theta) = \theta(\gamma(x))$ for all variables x.

To define LCTRSs, we consider the following signatures, mappings, and constants: Two signatures Σ_{term} and Σ_{theory} such that $\Sigma = \Sigma_{term} \cup \Sigma_{theory}$; a mapping \mathcal{I} that assigns to each sort ι occurring in Σ_{theory} a set $\mathcal{V}al_{\iota}$, i.e., $\mathcal{I}(\iota) = \mathcal{V}al_{\iota}$; a mapping \mathcal{J} that assigns to each $f : \iota_1 \times \cdots \times \iota_n \Rightarrow \iota \in \Sigma_{theory}$ a function in $\mathcal{V}al_{\iota_1} \times \cdots \times \mathcal{V}al_{\iota_n} \Rightarrow \mathcal{V}al_{\iota}$; a set $\Sigma_{val,\iota} \subseteq \Sigma_{theory}$ of *value-constants* $a : \iota$ for each sort ι occurring in Σ_{theory} such that \mathcal{J} gives a bijection from $\Sigma_{val,\iota}$ to $\mathcal{V}al_{\iota}$. Note that for each sort, \mathcal{I} specifies the universe, and for each symbol, \mathcal{J} specifies the interpretation. We define $\mathcal{V}al$ and Σ_{val} as $\bigcup_{\iota \in \mathcal{S}} \mathcal{V}al_{\iota}$ and $\bigcup_{\iota \in \mathcal{S}} \Sigma_{val,\iota}$, respectively. We require that $\Sigma_{term} \cap \Sigma_{theory} \subseteq \Sigma_{val}$. The sorts occurring in Σ_{theory} are called *theory sorts*, and the symbols *theory symbols*. The set of theory sorts is denoted by \mathcal{S}_{theory} . Note that $\mathcal{S}_{theory} \subseteq \mathcal{S}$. Symbols in $\Sigma_{theory} \setminus \Sigma_{val}$ are *calculation symbols*. A term in $T(\Sigma_{theory}, \mathcal{V})$ is called a *theory term*. For ground theory terms, we define the *interpretation* $[\![\cdot]\!]$ as $[\![f(s_1, \ldots, s_n)]\!] = \mathcal{J}(f)([\![s_1]\!], \ldots, [\![s_n]\!])$. Note that for every ground theory term s, there is a unique value-constant c such that $[\![s]\!] = [\![c]\!]$. We may use infix notation for calculation symbols.

We typically choose a theory signature with $\Sigma_{theory} \supseteq \Sigma_{core}$, where S_{theory} includes *bool*, a sort of *Booleans*, with $\Sigma_{val,bool} = \{\text{true}, \text{false}\}$ and $\mathcal{I}(bool) = \{\top, \bot\}$, $\Sigma_{core} = \Sigma_{val,bool} \cup \{\land, \lor, \Longrightarrow : bool \times bool \Rightarrow bool\} \cup \{=_{\iota}, \neq_{\iota} : \iota \times \iota \Rightarrow bool \mid \iota \in S_{theory}\}$, and \mathcal{J} interprets these symbols as expected: $\mathcal{J}(\text{true}) = \top$ and $\mathcal{J}(\text{false}) = \bot$. We omit the sort subscripts from = and \neq when they are clear from context. A *constraint* is a theory term φ : *bool*. A substitution γ is said to *respect* a constraint φ if $\mathcal{R}an(\gamma|_{\mathcal{V}ar(\varphi)}) \subseteq \Sigma_{val}$ and $[\![\varphi\gamma]\!] = \top$. A constraint φ is said to be *valid* if all substitutions γ with $\gamma|_{\mathcal{V}ar(\varphi)} \subseteq \Sigma_{val}$ respect φ , and *satisfiable* if there exists a substitution that respects φ .

Let $S \supseteq \{int, bool\}$. The standard integer signature Σ_{int} is $\Sigma_{core} \cup \{+, -, \times, \exp, \operatorname{div}, \operatorname{mod} : int \times int \Rightarrow int\} \cup \{\geq, >, \leq, <: int \times int \Rightarrow bool\} \cup \Sigma_{val, int}$ where $\Sigma_{val, int} = \{n : int \mid n \in \mathbb{Z}\}$, $\mathcal{I}(int) = \mathbb{Z}$, and $\mathcal{J}(n) = n$.

Note that we use n (in sans-serif font) as the function symbol for $n \in \mathbb{Z}$ (in *math* font). We define \mathcal{J} in the natural way, while we set $\mathcal{J}(\operatorname{div})(n,0) = \mathcal{J}(\operatorname{mod})(n,0) = \mathcal{J}(\exp)(n,k) = 0$ for all *n* and all k < 0.

A constrained rewrite rule is a triple $\ell \to r [\varphi]$ such that ℓ and r are terms of the same sort, φ is a constraint, and ℓ has the form $f(\ell_1, \ldots, \ell_n)$ and contains at least one symbol in $\sum_{term} \sum_{theory} (i.e., \ell \text{ is not a theory term})$. If $\varphi = \text{true}$, then we may write $\ell \to r$. We define $\mathcal{LVar}(\ell \to r [\varphi])$ as $\mathcal{Var}(\varphi) \cup (\mathcal{Var}(r) \setminus \mathcal{Var}(\ell))$. A substitution γ is said to respect $\ell \to r [\varphi]$ if $\mathcal{Ran}(\gamma|_{\mathcal{LVar}(\ell \to r [\varphi])}) \subseteq \sum_{val}$ and $[[\varphi\gamma]] = \top$. Note that it is allowed to have $\mathcal{Var}(r) \not\subseteq \mathcal{Var}(\ell)$, but fresh variables in the right-hand side may only be instantiated with value-constants (see the definition of $\to_{\mathcal{R}}$ below). Note that we do not deal with calculation rules [4] because for any rewrite rule in LCTRSs obtained from SIMP⁺ programs, no calculation symbol appears in the left- or right-hand sides. The rewrite relation $\to_{\mathcal{R}}$ is a binary relation on terms, defined as follows: $s[\ell\gamma]_p \to_{\mathcal{R}} s[r\gamma]_p$ if $\ell \to r [\varphi] \in \mathcal{R}$ and γ respects $\ell \to r [\varphi]$.

Now we define a *logically constrained term rewrite system* (LCTRS, for short) as the abstract reduction system $(T(\Sigma, V), \rightarrow_{\mathcal{R}})$ where \mathcal{R} is a set of constrained rewrite rules. LCTRS $(T(\Sigma, V), \rightarrow_{\mathcal{R}})$ is simply denoted by \mathcal{R} . An LCTRS is usually given by supplying Σ , \mathcal{R} , and an informal description of \mathcal{I} and \mathcal{J} if these are not clear from context.

Example 2.1 Let $\Sigma = \Sigma_{term} \cup \Sigma_{int}$, where $\Sigma_{term} = \{ \text{pow} : int \times int \Rightarrow int \}$. Then, both *int* and *bool* are theory sorts. Examples of theory terms are 0 = 0 + -1 and $x + 3 \ge y + -42$ which are constraints. Term 5 + 9 is also a (ground) theory term, but not a constraint. Term pow(2, y) is not a theory term. To implement an LCTRS calculating the *factorial* function over \mathbb{Z} , we use the signature Σ above and the LCTRS $\mathcal{R}_1 = \{ fact(x) \rightarrow subfact(x, 1), subfact(x, y) \rightarrow y [x \le 0], subfact(x, y) \rightarrow subfact(x', y') [\neg(x \le 0) \land x' = x - 1 \land y' = x \times y] \}$. The term fact(3) is reduced by \mathcal{R}_1 to 6: fact(3) $\rightarrow_{\mathcal{R}_1}$ subfact(2,3) $\rightarrow_{\mathcal{R}_1}$ subfact(1,6) $\rightarrow_{\mathcal{R}_1}$ subfact(0,6) $\rightarrow_{\mathcal{R}_1} 6$.

3 Syntax and Semantics of SIMP⁺

In this section, we recall the syntax and semantics of SIMP⁺. We follow the syntax and semantics of SIMP⁺ [5] which is a naive extension of a small imperative language SIMP [3], to global variables and function calls. Note that SIMP⁺ can be considered as a restricted variant of SIMPLE, a non-trivial imperative language, in [11].

Figure 1 defines the syntax of SIMP⁺ in BNF. For a nonterminal N, N^* denotes an arbitrary sequence of $N: \varepsilon, N, N N, \ldots$, and N_{\diamond}^* with a separation symbol \diamond denotes an arbitrary \diamond -separated sequence of $N: \varepsilon, N, N \diamond N, N \diamond N \diamond N, \ldots$ We often omit brackets in the usual way. SIMP⁺ programs generated from nonterminal *Prgrm* are sequences of declarations of global variables and functions. We assume that programs are well-formed: For a program P, any variable in P are declared properly; a function identifier f has a fixed arity, and the definition and call of f are consistent with the arity; each function f is defined exactly once in P and any function called in P is defined in P. We also assume that function main is declared in P as a nullary function. To simplify the semantics, we assume that local variables in function declarations are different from global variables and parameters of functions. We consider a local-variable declaration int x = v; as a statement.

Only assignment statements of the form x = f(...); are allowed to call functions—no function is called in any expression—because we have to push a frame to a call stack in calling a function, and need a special treatment for such a process. This is not a restriction because e.g., any function call can be replaced by a fresh variable that is assigned the result of the function call. For brevity, x is assumed to be a local variable. In addition, for return e;, we restrict e to a local variable x.

 $\begin{array}{l} Prgrm ::= VDecl^{*} FDecl^{*} \\ VDecl ::= int x = v; \\ FDecl ::= int f(Param^{*}_{,}) \{VDecl^{*} Stmt^{*}\} \\ Param ::= int x \\ A ::= v | x | (A + A) | (A - A) \\ B ::= true | false | (A Cmp A) | (!B) | (B \&\& B) | (B | | B) \\ Cmp ::= == | != | < | <= | >| >= \\ Stmt ::= \{Stmt^{*}\} | x = A; | x = f(A^{*}_{,}); | if (B) Stmt else Stmt | while (B) Stmt | return x; \end{array}$

where x is a variable identifier, f is a function identifiers, and v is an integer.

We consider integer and Boolean expressions of SIMP⁺ as the corresponding theory terms over the standard integer signature Σ_{int} of LCTRSs by implicitly replacing e.g., <= by \leq , and vice versa. This means that we do not distinguish expressions and theory terms, abusing them in both settings implicitly. For this reason, to define the small-step semantics of SIMP⁺, we use the interpretation $[\cdot]$ of ground theory terms to evaluate expressions under assignments.

We assume w.l.o.g. that SIMP⁺ programs are of the following form:

int
$$gv_1 = n_1$$
; ... int $gv_k = n_k$; int $f_1(...) \{...\}$... int $f_{k'}(...) \{...\}$ (1)

where $gv_1, \ldots, gv_k, f_1, \ldots, f_{k'}$ are distinct identifiers and one of $f_1, \ldots, f_{k'}$ is main. In the following, \overrightarrow{gv} denotes the sequence gv_1, \ldots, gv_k . In the rest of the paper, we use *P* as a SIMP⁺ program of the form (1) without notice.

Example 3.1 Program 1 shows a SIMP⁺ program P_1 which defines three kinds of summation functions.

An *assignment* is a partial mapping from variable identifiers to integers. We consider variable identifiers in programs as variables in LCTRSs. Thus, assignments can be considered substitutions whose range is restricted to value constants of integers. We abuse assignments as substitutions for terms in the setting of LCTRSs. The empty mapping—the mapping whose domain is empty—is denoted by \emptyset .

The update $\sigma[x \mapsto n]$ of an assignment σ w.r.t. x for an integer v is defined as follows: If x = y then $\sigma[x \mapsto v](y) = v$, and otherwise, $\sigma[x \mapsto v](y) = \sigma(y)$. Given pairwise distinct variables x_1, \ldots, x_n , we abbreviate $(\sigma[x_1 \mapsto v_1]) \ldots [x_n \mapsto v_n]$ to $\sigma[x_1 \mapsto v_1, \ldots, x_n \mapsto v_n]$. Let θ be $\{x_1 \mapsto v_1, \ldots, x_n \mapsto v_n\}$ for the update $[x_1 \mapsto v_1, \ldots, x_n \mapsto v_n]$. Then, $\sigma[x_1 \mapsto v_1, \ldots, x_n \mapsto v_n] = \theta \sigma$.

To simplify the representation of a configuration and the corresponding function symbol which is introduced for the location indicated by the configuration, we assume that each statement in a program has a unique label, and use the label to indicate the statement. For a configuration, to indicate a statement to be executed, it is usual to use the statement itself as a component in the configuration, but the structure of the statement is not necessary. In transforming a SIMP⁺ program into an LCTRS, for each location the configuration indicates, we prepare a function symbol. In this paper, we use line numbers as such labels. Note that each occurrence of a statement is given distinct labels. As an exception, we use the function identifier f as a label of the head statement of the body of f: For a function declaration int f(...) {stmts}, the label of the head statement of stmts is f. For a statement stmt with a label ρ , Stmt(ρ) denotes stmt. For a label ρ , Fun(ρ) denotes the function identifier, the body of which includes

int $n = 0;$	21 int sum3(int x_3) {
	22 int $z_3 = 0;$
int sum1(int x_1) {	23 $n = n + 1;$
int $i_1 = 0;$	24 if $(x_3 \le 0)$
int $z_1 = 0;$	$z_5 z_3 = 0;$
n = n + 1;	26 else
while ($i_1 < x_1$)	27 {
{	28 $z_3 = \text{sum}3(x_3 - 1);$
$z_1 = z_1 + i_1 + 1;$	29 $z_3 = x_3 + z_3;$
$i_1 = i_1 + 1;$	30 }
}	31 return z_3 ;
return z ₁ ;	32 }
}	33
	34 int main() {
int sum2(int x_2) {	35 int <i>ret</i> = 0;
int $z_2 = 0;$	36 int $z = 3;$
n = n + 1;	37 $z = sum1(z);$
$z_2 = x_2 * (x_2 + 1) / 2;$	38 return ret;
return z ₂ ;	39 }
}	40

Program 1: a SIMP⁺ program P_1 defining several summation functions with a global variable.

the statement of ρ . Note that if $Fun(\rho) = \rho$, then ρ is some function identifier f which is the label of the head statement of the body of f. The set of labels in P is denoted by Lab(P).

Example 3.2 For P_1 in Program 1, $Lab(P_1) = \{sum1, 5, 6, 7, 8, 9, 10, 12, sum2, 17, 18, 19, sum3, 23, 24, 25, 27, 28, 29, 31, main, 36, 37, 38\}$, Fun(sum1) = Fun(5) = sum1, and $Stmt(sum1) = (int i_1 = 0;)$.

For each statement *stmt* other than return statements, we can statically determine which statement follows after *stmt*: For a list *stmt stmt' stmts* of statements, *stmt'* follows after *stmt*; for a block statement {... *stmt*} *stmt' stmts*, *stmt'* follows after both {... *stmt*} and *stmt*; for an if statement if (...) *stmt*₁ else *stmt*₂ *stmt' stmts*, *stmt'* follows after *stmt*₁ and *stmt*₂; for a while statement while (...) *stmt stmt' stmts*, *stmt'* follows after *stmt*. For a statement *stmt* with a label ρ , *Nxt*(ρ) denotes the statement that follows after *stmt*.

Example 3.3 For P_1 in Program 1, Nxt(sum1) = 4, Nxt(4) = 5, Nxt(7) = 12, Nxt(8) = 7, Nxt(10) = 7, and Nxt(24) = Nxt(25) = Nxt(29) = 31.

For each statement *stmt* with a label ρ , we can statically determine which local variables are declared, i.e., accessible in executing *stmt*. We denote the set of such variables by $dvars(\rho)$. In addition, we assume a fixed arbitrary order of local variables, and $\overrightarrow{dvars}(\rho)$ denotes the sequence of the variables in $dvars(\rho)$ under such a fixed order.

Example 3.4 For P_1 in Program 1, $dvars(4) = \{x_1\}$, $dvars(5) = \{x_1, i_1\}$, and $dvars(7) = dvars(8) = \cdots = dvars(12) = \{x_1, i_1, z_1\}$. In addition, $\overrightarrow{dvars}(7) = x_1, i_1, z_1$.

Frames for function calls are tuples of the form (ρ, σ) , where ρ is the label of a statement and σ is an assignment for local variables such that $\mathcal{D}om(\sigma) = dvars(\rho)$. Call stacks for SIMP⁺ programs are

lists of frames, and we use a list constructor :: and [] for such lists. *Configurations* of SIMP⁺ programs are of the form $\langle cstck, \sigma_0 \rangle$ such that *cstck* is a call stack and σ_0 is an assignment for the global variables.

The initial configuration of *P* is $\langle [(\text{main}, \emptyset)], \{gv_i \mapsto n_i \mid 1 \le i \le k\} \rangle$.

Example 3.5 The initial configuration of P_1 in Program 1 is $\langle [(main, \emptyset)], \{n \mapsto 0\} \rangle$.

Following [11], we define the small-step semantics for SIMP⁺. To make all inference rules for the small-step semantics have a common form, we avoid the occurrence of \perp in any inference rules, using $[[(\neg \varphi)(\sigma \cup \sigma_0)]] = \top$ instead of $[[\varphi(\sigma \cup \sigma_0)]] = \bot$. The inference rules for the small-step semantics of SIMP⁺, which define the transition step \rightharpoonup_P over configurations of *P*, are illustrated in Figure 2. In the rule (**while**_{\top}), ρ does not appear in the resulting configuration $\langle (\rho', \sigma) :: cstck, \sigma_0 \rangle$. One may think that the body *stmt* is executed at most once during the iteration. In fact, the body *stmt* is executed as much as needed: Let ρ' be one of the statements executed at the last step for *stmt*; then we have $Nxt(\rho') = \rho$; In executing ρ' , the resulting configuration is of the form $\langle (\rho, \sigma') :: cstck, \sigma_0' \rangle$.

Some symbols in Figure 2— ρ , σ , σ_0 , *cstck*, and so on—are meta-variables to represent inference rules, and thus, inference rules in Figure 2 can be considered schema defining the transition of configurations. For this reason, we consider *partial configurations*, configurations that may include variables (see the appendix). Call stack and frame included in partial configurations are called *partial* ones (see also the appendix). Partial configurations may include variables for assignments, and thus, may include updates of the form $\sigma[x_1 \mapsto v_1, \dots, x_m \mapsto v_m]$ such that σ is a variable. To distinguish configurations without variables or updates from partial ones, we call such a configuration a *full configuration*. Note that a full configuration is a partial one. Updates appear only in partial configurations that are not full ones, and for any update of the form $\sigma[x_1 \mapsto v_1, \dots, x_m \mapsto v_m]$, we consider v_1, \dots, v_m variables.

In transitioning a full configuration, we use inference rules by instantiating meta-variables w.r.t. the configuration. A label ρ in *P* instantiates the corresponding inference rule. For example, for rule (**loc**), symbols $x, v, Nxt(\rho)$ are determined by ρ . Further instantiating such an intermediately instantiated rule by $\sigma, \sigma_0, cstck$, we transition a full configuration. Viewed in this light, we formulate intermediately instantiated rule such that $\sigma, \sigma', \sigma_0, cstck$ are considered variables. In addition, the transitions defined by the rule (**rule**)[ρ] is denoted by (**rule**)[ρ]($\sigma, \sigma_0, cstck$), e.g., (**loc**)[ρ]($\sigma, \sigma_0, cstck$) is the transition $\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup_P \langle (Nxt(\rho), \{x \mapsto v\}\sigma) :: cstck, \sigma_0 \rangle$, where $\sigma, \sigma_0, cstck$ are assignments and a call stack, respectively. We define the set Rules(P) of the intermediately instantiated inference rules for *P* as follows:

$$Rules(P) = \{ (\mathbf{loc})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (\operatorname{int} x = v;) \} \\ \cup \{ (\mathbf{block})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (\{stmts\}) \} \\ \cup \{ (\mathbf{g}\text{-}\mathbf{assign})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (gv_i = e;) \} \\ \cup \{ (\mathbf{l}\text{-}\mathbf{assign})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (x = e;) \} \\ \cup \{ (\mathbf{call})[\rho], (\mathbf{return})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (x = g(\ldots);) \} \\ \cup \{ (\mathbf{if}_{\top})[\rho], (\mathbf{if}_{\perp})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (\mathbf{if} (\varphi) stmt_1 \text{ else } stmt_2) \} \\ \cup \{ (\mathbf{while}_{\top})[\rho], (\mathbf{while}_{\perp})[\rho] \mid \rho \in Lab(P), Stmt(\rho) = (while (\varphi) stmt) \} \end{cases}$$

Example 3.6 For P_1 in Program 1, $Rules(P_1) = \{ (loc)[sum1], (loc)[5], (g-assign)[6], (while_{\top})[y], (while_{\perp})[y], ..., (call)[37], (return)[37] \}.$

Rules(P) can be considered an LCTRS that uses assignments as values. The class of constraints of such an LCTRS is more complex than that for LCTRSs generated in the previous work [5]. For this reason, we transform SIMP⁺ programs into LCTRSs over the standard integer signature Σ_{int} .

$$\frac{[[v_0(\sigma \cup \sigma_0)]] = v}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (Nxt(\rho), \sigma[x \mapsto v]) :: cstck, \sigma_0 \rangle} \text{ (loc) where } Stmt(\rho) = (int x = v_0;)$$

$$\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (Nxt(\rho), \sigma) :: cstck, \sigma_0 \rangle$$
 (block)

where $Stmt(\rho) = ({stmt stmts})$ and ρ' is the label of stmt

$$\frac{[[e(\sigma \cup \sigma_0)]] = v}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (Nxt(\rho), \sigma) :: cstck, \sigma_0 [gv_i \mapsto v] \rangle} \text{ (g-assign) where } Stmt(\rho) = (gv_i = e;)$$

$$\frac{\llbracket e(\sigma \cup \sigma_0) \rrbracket = v}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (Nxt(\rho), \sigma[x \mapsto v]) :: cstck, \sigma_0 \rangle} \text{ (I-assign) where } Stmt(\rho) = (x = e;)$$

$$\frac{\llbracket e_1(\sigma \cup \sigma_0) \rrbracket = v_1 \qquad \dots \qquad \llbracket e_{n'}(\sigma \cup \sigma_0) \rrbracket = v_{n'}}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (g, \varnothing[y_1 \mapsto v_1, \dots, y_{n'} \mapsto v_{n'}]) :: (\rho, \sigma) :: cstck, \sigma_0 \rangle} \text{ (call)}$$

where $Stmt(\rho) = (x = g(e_1, \dots, e_{n'});)$ and g is declared in P as int $g(int y_1, \dots, int y_{n'}) \{\dots\}$,

$$\frac{[[y(\sigma' \cup \sigma_0)]] = v}{\langle (\rho', \sigma') :: (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (Nxt(\rho), \sigma[x \mapsto v]) :: cstck, \sigma_0 \rangle}$$
(return)
where $Stmt(\rho') = (return y;)$ and $Stmt(\rho) = (x = g(\ldots);),$

$$\frac{\llbracket \varphi(\sigma \cup \sigma_0) \rrbracket = \top}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (\rho_1, \sigma) :: cstck, \sigma_0 \rangle} (\mathbf{i} \mathbf{f}_{\top}) \qquad \frac{\llbracket (\neg \varphi)(\sigma \cup \sigma_0) \rrbracket = \top}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (\rho_2, \sigma) :: cstck, \sigma_0 \rangle} (\mathbf{i} \mathbf{f}_{\perp})$$

where $Stmt(\rho) = (if(\phi) stmt_1 else stmt_2)$ and ρ_i is the label of $stmt_i$

$$\frac{\llbracket \varphi(\sigma \cup \sigma_0) \rrbracket = \top}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (\rho', \sigma) :: cstck, \sigma_0 \rangle}$$
(while_{\tau})

where $Stmt(\rho) = (while (\phi) stmt)$ and ρ' is the label of stmt

$$\frac{[[(\neg \varphi)(\sigma \cup \sigma_0)]] = \top}{\langle (\rho, \sigma) :: cstck, \sigma_0 \rangle \rightharpoonup \langle (Nxt(\rho), \sigma) :: cstck, \sigma_0 \rangle} \text{ (while}_{\perp}) \text{ where } Stmt(\rho) = (\text{while}(\varphi) stmt)$$

Implicitly adding the redundant premise $[[true(\sigma \cup \sigma_0)]] = \top$ to (loc), (block), (g-assign), (l-assign), (call), and (return), all rules in *Rules*(*P*)—the inference rules in Figure 2— are of the following form:

$$\frac{\llbracket \varphi(\sigma \cup \sigma_0) \rrbracket = \top \qquad \llbracket e_1(\sigma \cup \sigma_0) \rrbracket = v_1 \qquad \dots \qquad \llbracket e_m(\sigma \cup \sigma_0) \rrbracket = v_m}{cnfg \rightharpoonup cnfg'}$$
(2)

where cnfg is a partial configuration without any update, and cnfg' is a partial configuration that may include an update.

4 Transforming SIMP⁺ Programs into LCTRSs via Injective Functions

In this section, using an injective function, we first transform an intermediately instantiated rule in Rules(P) into a constrained rewrite rule, generating an LCTRS $\mathfrak{T}(P)$. Then, further using the injective

function, we show a correctness proof of the transformation.

We introduce the following function symbols to Σ_{term} :

- a (k+1)-ary function symbol cnfg : $cstack \times int \times \cdots \times int \rightarrow config$ for configurations,
- a binary constructor stack : *frame* × *cstack* → *cstack* and a constant empty : *cstack* for call stacks,
- an *n*-ary constructor $f : int \times \cdots \times int \rightarrow frame$ for an *n*-ary function identifier f, and
- an *n*-ary constructor f_{ρ} : $int \times \cdots \times int \rightarrow frame$ for a frame (ρ, \ldots) such that $n = |dvars(\rho)|$, $f = Fun(\rho)$, and $\rho \neq f$.

In the following, f_{ρ} denotes f if $Fun(\rho) = \rho$ (i.e., $\rho = f$).¹

4.1 Injective Functions from Configurations to Terms

An idea to simplify a formulation of the transformation is the use of an injective function ξ from configurations to terms so that

- we transform an intermediately instantiated inference rule of the form (2) into a constrained rewrite rule $\xi(cnfg) \rightarrow \xi(cnfg') [\varphi \wedge v_1 = e_1 \wedge \cdots \wedge v_{n'} = e_{n'}]$, and
- for the correctness of the transformation, we show that for full configurations *cnfg*, *cnfg'* and a ground term *t* : *config*,
 - if $cnfg \rightarrow_P cnfg'$, then $\xi(cnfg) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg')$, and - if $\xi(cnfg) \rightarrow_{\mathfrak{T}(P)} t$, then $cnfg \rightarrow_P \xi^{-1}(t)$.

To define ξ for partial configurations, we prepare two injective functions δ_{config} and ζ_{config} such that $\xi = \zeta_{\text{config}}^{-1} \circ \delta_{\text{config}}$; δ_{config} maps partial configurations to pairs of linear terms and substitutions; ζ_{config} maps terms with sort *config* to such pairs.

In applying δ_{config} to a full configuration cnfg, a frame (ρ, σ) in the configuration is intermediately transformed into $(f_{\rho}(\overrightarrow{dvars}(\rho)), \sigma)$, where $Fun(\rho) = f$. When f is recursively called in cnfg, there may exist two frames (ρ_1, σ_1) and (ρ_2, σ_2) such that $Fun(\rho_1) = Fun(\rho_2) = f$ and $\{y_1, \ldots, y_{n'}\} \subseteq \mathcal{D}om(\sigma_1) \cap \mathcal{D}om(\sigma_2)$, where f is declared in P as int $f(\text{int } y_1, \ldots, \text{int } y_{n'}) \{\ldots\}$. If we transform (ρ_1, σ_1) and (ρ_2, σ_2) into $(f_{\rho_1}(\overrightarrow{dvars}(\rho_1)), \sigma_1)$ and $(f_{\rho_2}(\overrightarrow{dvars}(\rho_2)), \sigma_2)$, respectively, then the resulting term of $\delta_{\text{config}}(cnfg)$ contains both $f_{\rho_1}(\overrightarrow{dvars}(\rho_1))$ and $f_{\rho_2}(\overrightarrow{dvars}(\rho_2))$ as subterms, and thus, the resulting term cannot be linear. In addition, we cannot combine σ_1 and σ_2 as a substitution.

To make the resulting term linear, we rename variables in $dvars(\rho)$ by means of the location of frames in call stacks. Given a call stack $(\rho_n, \theta_n) :: (\rho_{n-1}, \theta_{n-1}) :: \cdots :: (\rho_0, \theta_0) :: []$ and a partial call stack $(\rho_n, \theta_n) :: (\rho_{n-1}, \theta_{n-1}) :: \cdots :: (\rho_0, \theta_0) :: s$ with *s* a variable, the *height* of the frame (ρ_h, θ_h) with $0 \le h \le n$ is *h*. For a frame (ρ, σ) with height $h \ge 0$, a variable *x* in $dvars(\rho)$ is renamed to x^h ; if h = 0, then we abbreviate x^h to *x*; $dvars^h(\rho)$ denotes the set of the renamed variables for $dvars(\rho)$, and $dvars^h(\rho)$ denotes the sequence of the renamed variables for $dvars(\rho)$; σ^h denotes the substitution obtained from σ by renaming the domain via *h*, i.e., $\sigma^h = \{x^h \mapsto x\sigma \mid x \in dvars(\rho)\}$.

Now we define injective functions ξ , δ_{config} , and ζ_{config} .

Definition 4.1 (ξ , δ_{config}) The mapping $\delta_{\text{frame},h}$ from partial frames into pairs of linear terms and substitutions is defined as follows:

¹In this case, ρ is the first statement of the body of the definition for f, and we use f instead of f_{ρ} because f is more natural as the entry point of f than f_{ρ} .

- $\delta_{\text{frame},h}((\rho,\sigma)) = (f_{\rho}(\overrightarrow{dvars}^{h}(\rho)), \sigma^{h}), \text{ where } \sigma \text{ is an assignment with } \mathcal{D}om(\sigma) = dvars(\rho),$
- $\delta_{\text{frame},h}((\rho,\sigma)) = (f_{\rho}(\overrightarrow{dvars}^h(\rho)), \emptyset)$, where σ is a variable,
- $\delta_{\text{frame},h}((\rho,\sigma[y\mapsto v])) = (f_{\rho}(\overrightarrow{dvars}^{h}(\rho)), \{y^{h}\mapsto v\})$, where $Fun(\rho) \neq \rho$, both v, σ are variables, and $y \in dvars(\rho)$, and
- $\delta_{\text{frame},h}((\rho, \emptyset[y_1 \mapsto v_1, \dots, y_m \mapsto v_m])) = (f_{\rho}(\overrightarrow{dvars}^h(\rho)), \{y_1^h \mapsto v_1, \dots, y_m^h \mapsto v_m\}), \text{ where } Fun(\rho) = \rho, \text{ all } v_1, \dots, v_m, \sigma \text{ are variables, and } dvars(\rho) = \{y_1, \dots, y_m\}.$

The mapping δ_{cstack} from partial call stacks is inductively defined as follows:

- $\delta_{\text{cstack}}(s) = (s, \emptyset)$, where s is a variable,
- $\delta_{cstack}([]) = (empty, \emptyset)$, and
- $\delta_{\text{cstack}}(frm :: cstck) = (\text{stack}(t, s), \theta \cup \theta'),^2$ where h is the length of cstck and $\delta_{\text{frame},h}(frm) = (t, \theta)$ and $\delta_{\text{cstack}}(cstck) = (s, \theta').$

The mapping δ_{config} from partial configurations to pairs of linear terms and substitutions is defined as follows:

- $\delta_{\text{config}}(\langle cstck, \sigma_0 \rangle) = (\text{cnfg}(s, \overrightarrow{gv}), \theta \cup \sigma_0)^3$ where σ_0 is an assignment with $\mathcal{D}om(\sigma_0) = \{\overrightarrow{gv}\},$
- $\delta_{\text{config}}(\langle cstck, \sigma_0 \rangle) = (\text{cnfg}(s, \overrightarrow{gv}), \theta)$, where σ_0 is a variable, and
- $\delta_{\text{config}}(\langle cstck, \sigma_0[gv_i \mapsto v_i] \rangle) = (\text{cnfg}(s, \overrightarrow{gv}), \theta \cup \{gv_i \mapsto v_i\}),^4 \text{ where } v_i, \sigma_0 \text{ are variables,}$

where $\delta_{cstack}(cstck) = (s, \theta)$. The mapping ξ from partial configurations into terms is defined as $\xi(cnfg) = u\sigma$, where $\delta_{config}(cnfg) = (u, \sigma)$.

By definition, it is clear that all δ_{config} , δ_{cstack} , and $\delta_{\text{frame},h}$ return pairs of linear terms and substitutions.

Proposition 4.2 All δ_{config} , δ_{cstack} , and $\delta_{\text{frame},h}$ are injective.

The injectivity of ξ is not so trivial. For this reason, we define a mapping from terms with sort *config* to pairs of linear terms and substitutions.

Definition 4.3 (ζ_{config}) The mapping $\zeta_{\text{frame},h}$ from terms to pairs of linear terms and substitutions is defined as follows:

- $\zeta_{\text{frame},h}(f_{\rho}(v_1,\ldots,v_m)) = (f_{\rho}(y_1^h,\ldots,y_m^h), \{y_1^h \mapsto v_1,\ldots,y_m^h \mapsto v_m\})$, where v_1,\ldots,v_m are integers and $\overrightarrow{dvars}(\rho) = y_1,\ldots,y_m$,
- $\zeta_{\text{frame},h}(f_{\rho}(\overrightarrow{dvars}^{h}(\rho)) = (f_{\rho}(\overrightarrow{dvars}^{h}(\rho)), \emptyset),$
- $\zeta_{\text{frame},h}(f_{\rho}(y_1^h,\ldots,y_{i-1}^h,v,y_{i+1}^h,\ldots,y_m^h)) = (f_{\rho}(\overrightarrow{dvars}^h(\rho)), \{y_i^h \mapsto v, \})$, where v is a variable and $\overrightarrow{dvars}(\rho) = y_1,\ldots,y_m$, and
- $\zeta_{\text{frame},h}(f_{\rho}(v_1,\ldots,v_m)) = (f_{\rho}(y_1^h,\ldots,y_m^h), \{y_1^h \mapsto v_1,\ldots,y_m^h \mapsto v_m\})$, where v_1,\ldots,v_m are pairwise distinct variables and $\overline{dvars}(\rho) = y_1,\ldots,y_m$.

The mapping ζ_{cstack} from terms to pairs of linear terms and substitutions is inductively defined as follows:

• $\zeta_{cstack}(s) = (s, \emptyset)$, where s is a variable,

²Note that $\theta \cup \theta'$ is a substitution because $\mathcal{D}om(\theta) \cap \mathcal{D}om(\theta') = \emptyset$.

³Note that $\theta \cup \sigma_0$ is a substitution because $\mathcal{D}om(\theta) \cap \mathcal{D}om(\sigma_0) = \emptyset$.

⁴Note that $\theta \cup \{gv_i \mapsto v\}$ is a substitution because $gv_i \notin \mathcal{D}om(\theta)$.

- $\zeta_{cstack}(empty) = (empty, \emptyset)$, and
- $\zeta_{cstack}(stack(frm,s)) = (stack(t,s'), \theta \cup \theta')$, where h is the number of occurrences of stack in s, $\zeta_{frame,h}(frm) = (t, \theta)$, and $\zeta_{cstack}(s) = (s', \theta')$.

The mapping ζ_{config} from terms with sort config to pairs of linear terms and substitutions is defined as follows:

- $\zeta_{\text{config}}(\text{cnfg}(s,v_1,\ldots,v_k)) = (\text{cnfg}(s',\overrightarrow{gv}), \theta \cup \{gv_1 \mapsto v_1,\ldots,gv_k \mapsto v_k\}), \text{ where } v_1,\ldots,v_k \text{ are integers,}$
- $\zeta_{\text{config}}(\text{cnfg}(s, \overrightarrow{gv})) = (\text{cnfg}(s', \overrightarrow{gv}), \theta)$, and
- $\zeta_{\text{config}}(\text{cnfg}(s, gv_1, \dots, gv_{i-1}, v, gv_{i+1}, \dots, gv_k)) = (\text{cnfg}(s', \overrightarrow{gv}), \theta \cup \{gv_i \mapsto v\})$, where v is a variable,

where $\zeta_{cstack}(s) = (s', \theta)$.

Proposition 4.4 All $\zeta_{\text{config}}, \zeta_{\text{cstack}}, \zeta_{\text{frame},h}$ are injective.

The proof of Proposition 4.4 is analogous to Proposition 4.2. The following proposition is a direct consequence of Propositions 4.2 and 4.4.

Proposition 4.5 $\xi = \zeta_{\text{config}}^{-1} \circ \delta_{\text{config}}$ and ξ is injective.

4.2 Formulating a Transformation of SIMP⁺ programs into LCTRSs via ξ

In this section, using ξ , we formulate a transformation of SIMP⁺ programs into LCTRSs.

Definition 4.6 (transformation \mathfrak{T}) *For a rule* (**rule**)[ρ] *of the form*

$$\llbracket \varphi(\sigma \cup \sigma_0) \rrbracket = \top \qquad \llbracket e_1(\sigma \cup \sigma_0) \rrbracket = v_1 \qquad \dots \qquad \llbracket e_m(\sigma \cup \sigma_0) \rrbracket = v_m$$

$$cnfg \rightharpoonup cnfg'$$

in Rules(P), $\mathfrak{T}_{rule}((\mathbf{rule})[\rho]) = \xi(cnfg) \rightarrow \xi(cnfg') [\varphi \wedge v_1 = e_1 \wedge \cdots \wedge v_{n'} = e_{n'}]$. For readability, we use h instead of 1 in renaming variables.⁵ A transformation \mathfrak{T} that takes a SIMP⁺ program as input and returns an LCTRS is defined as $\mathfrak{T}(P) = \{\mathfrak{T}_{rule}((\mathbf{rule})[\rho]) | (\mathbf{rule})[\rho] \in Rules(P) \}$.

Example 4.7 P_1 in Program 1 is transformed into the LCTRS in Figure 3 (see the appendix for detail).

4.3 A Correctness Proof for \mathfrak{T} via ξ

Finally, using ξ , we show a correctness proof for \mathfrak{T} .

Lemma 4.8 (soundness of $\rightarrow_{\mathfrak{T}(P)}$) For full configurations $cnfg_1, cnfg_2$ of P, if $cnfg_1 \rightarrow_P cnfg_2$, then $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg_2)$.

Lemma 4.9 (completeness of $\rightarrow_{\mathfrak{T}(P)}$) *For a full configuration* $cnfg_1$ *of* P *and a ground term* t *with sort config, if* $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} t$ *, then* $cnfg_1 \rightharpoonup_P \xi^{-1}(t)$.

Thanks to the injectivity of ξ , the proof of Lemma 4.9 is analogous to Lemma 4.8.

⁵The maximum height of partial call stacks in rules is two and we need one symbol for superscripts to rename variables.

$ \begin{array}{ll} cnfg(stack(sum1(x_1),s),n) \to cnfg(stack(sum1_5(x_1,v),s),n) \\ cnfg(stack(sum1_5(x_1,i_1),s),n) \to cnfg(stack(sum1_6(x_1,i_1,v),s),n) \\ cnfg(stack(sum1_6(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_7(x_1,i_1,z_1),s),v) \\ cnfg(stack(sum1_7(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_8(x_1,i_1,z_1),s),n) \\ cnfg(stack(sum1_7(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_2(x_1,i_1,z_1),s),n) \\ cnfg(stack(sum1_7(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_7(x_1,i_1,z_1),s),n) \\ cnfg(stack(sum1_7(x_1,i_1,z_1),s),$	$\begin{bmatrix} v = 0 \\ v = 0 \\ v = n + 1 \\ i_1 < x_1 \\ \neg (i_1 < x_1) \end{bmatrix}$]]]]
$\begin{aligned} & cnfg(stack(sum1_8(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_9(x_1,i_1,z_1),s),n) \\ & cnfg(stack(sum1_9(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_{10}(x_1,i_1,v),s),n) \\ & cnfg(stack(sum1_{10}(x_1,i_1,z_1),s),n) \to cnfg(stack(sum1_7(x_1,v,z_1),s),n) \end{aligned}$	$\begin{bmatrix} v = z_1 + i_1 + 1 \\ v = i_1 + 1 \end{bmatrix}$]]
$\begin{aligned} & cnfg(stack(sum2(x_2),s),n) \to cnfg(stack(sum2_{17}(x_2,v),s),n) \\ & cnfg(stack(sum2_{17}(x_2,z_2),s),n) \to cnfg(stack(sum2_{18}(x_2,z_2),s),v) \\ & cnfg(stack(sum2_{18}(x_2,z_2),s),n) \to cnfg(stack(sum2_{19}(x_2,v),s),n) \end{aligned}$	$\begin{bmatrix} v = 0 \\ v = n+1 \\ v = x_2 \times (x_2 + 1)/2 \end{bmatrix}$]]]
$\begin{aligned} & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}(x_3),s),n) \to \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{23}(x_3,v),s),n) \\ & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{23}(x_3,z_3),s),n) \to \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{24}(x_3,z_3),s),v) \\ & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{24}(x_3,z_3),s),n) \to \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{25}(x_3,z_3),s),n) \\ & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{25}(x_3,z_3),s),n) \to \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{27}(x_3,z_3),s),n) \\ & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{25}(x_3,z_3),s),n) \to \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{28}(x_3,z_3),s),n) \\ & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{28}(x_3,z_3),s),n) \to \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{28}(x_3,z_3),s),n) \\ & \operatorname{cnfg}(\operatorname{stack}(\operatorname{sum3}_{28}(x_3,z$	$\begin{bmatrix} v = 0 \\ v = n+1 \\ x_3 \le 0 \\ \neg(x_3 \le 0) \\ v = 0 \end{bmatrix}$]]]]]
$cnfg(stack(sum3_{28}(x_3,z_3),s),n) \rightarrow cnfg(stack(sum3_{28}(x_3,z_3),s)),n)$ $cnfg(stack(sum3_{31}(x_3^h,z_3^h),stack(sum3_{26}(x_3,z_3),s)),n) \rightarrow cnfg(stack(sum3_{29}(x_3,v),s),n)$ $cnfg(stack(sum3_{29}(x_3,z_3),s),n) \rightarrow cnfg(stack(sum3_{31}(x_3,v),s),n)$	$\begin{bmatrix} v_1 = x_3 - 1 \\ v = z_3^h \\ v = x_3 + z_3 \end{bmatrix}$]
$cnfg(stack(main,s),n) \rightarrow cnfg(stack(main_{36}(v),s),n)$ $cnfg(stack(main_{36}(ret),s),n) \rightarrow cnfg(stack(main_{37}(ret,v),s),n)$ $cnfg(stack(main_{37}(ret,z),s),n) \rightarrow$	$\begin{bmatrix} v = 0 \\ v = 3 \end{bmatrix}$]
$cnfg(stack(main_{37}(ret,z),s),n) \rightarrow cnfg(stack(sum1_{12}(x_1^h, i_1^h, z_1^h), stack(main_{37}(ret,z),s)),n) \rightarrow cnfg(stack(main_{38}(ret,v),s),n)$	$[v_1 = z \\ v = z_1^h$]

Figure 3: The LCTRS obtained from Program 1.

Theorem 4.10 (correctness of \mathfrak{T}) Let $cnfg_0$ be the initial (full) configuration of P. Then, for any natural number n, both of the following hold:

- For a full configuration cnfg, if $cnfg_0 \rightharpoonup_P^n cnfg$, then $\xi(cnfg_0) \rightarrow_{\mathfrak{T}(P)}^n \xi(cnfg)$, and
- for a ground term t: config, if $\xi(cnfg_0) \rightarrow_{\mathfrak{T}(P)}^n t$, then $cnfg_0 \rightharpoonup_P^n \xi^{-1}(t)$.

Proof (Sketch). Using Lemmas 4.8 and 4.9, both claims can straightforwardly be proved by induction on n.

5 Conclusion

In this paper, we showed an injective function from configurations of SIMP⁺ programs to terms, and then, using the injective function, we reformulate the definition and correctness proof of the transformation proposed in [5]. To show the usefulness of the proposed approach, we will compare the approach in this paper with the definition and correctness proof in [5] from the viewpoint of how plainer the approach is. Our future work is to extend this approach to SIMPLE [11], and then to concurrent programs with semaphore-based exclusive control in [7].

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A Partial Configurations

Partial configurations are formally defined as follows.

Definition A.1 (partial frames configurations) Partial frames are inductively defined as follows:

- If σ is either a variable or an assignment with $\mathcal{D}om(\sigma) = dvars(\rho)$, then (ρ, σ) is a partial frame,
- *if* $Fun(\rho) \neq \rho$, *v is a variable*, σ *is a variable for assignments, and* $y \in Dom(\sigma)$, *then* $(\rho, \sigma[y \mapsto v])$ *is a partial frame, and*
- *if* $Fun(\rho) = \rho$, all v_1, \ldots, v_m are variables, and $dvars(\rho) = \{y_1, \ldots, y_m\}$, then $(\rho, \emptyset[y_1 \mapsto v_1, \ldots, v_m \mapsto v_m])$ is a partial frame.

Partial call stacks are inductively defined as follows:

- [] and a variable s for call stacks are partial call stacks, and
- if frm is a partial frame and s is a partial call stack, then frm :: s is a partial call stack.

Partial configurations are inductively defined as follows:

- If cstck is a partial call stack and σ_0 is either a variable or an assignment with $\mathcal{D}om(\sigma_0) = \{gv_1, \dots, gv_k\}$, then $\langle cstck, \sigma_0 \rangle$ is a partial configuration, and
- *if cstck is a partial call stack,* v_i *is a variable,* σ_0 *is a variable for assignments, and* $1 \le i \le k$ *, then* $\langle cstck, \sigma_0[gv_i \mapsto v_i] \rangle$ *is a partial configuration.*

B Missing Proofs

Proposition 4.2 All δ_{config} , δ_{cstack} , and $\delta_{\text{frame},h}$ are injective.

Proof (Sketch). By definition, $\delta_{\text{frame},h}$ is injective: The second case is distinguishable from the other cases because of \emptyset ; the first is distinguishable from the third and fourth because σ^h is an assignment but $\{y^h \mapsto v\}$ and $\{y^h_1 \mapsto v_1, \ldots, y^h_m \mapsto v_m\}$ are mappings from variables to variables; the third and fourth are distinguishable depending whether $Fun(\rho) = \rho$. It follows from the injectivity of $\delta_{\text{frame},h}$ that δ_{cstack} is injective. It follows from the injectivity of δ_{cstack} and the definition of δ_{config} that δ_{config} is injective: The second case is distinguishable from the first and third because of $\mathcal{D}om(\theta)$; the first and third are distinguishable because $(\theta \cup \sigma_0)(gv_i)$ is an integer and $(\theta \cup \{gv_i \mapsto v_i\})(gv_i)$ is a variable.

Lemma 4.8 Let P be a SIMP⁺ program of the form (1) and For full configurations $cnfg_1, cnfg_2$ of P, if $cnfg_1 \rightarrow_P cnfg_2$, then $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg_2)$.

Proof. We make a case analysis depending on which rule in Rules(P) is applied to $cnfg_1$.

• Consider the case where one of $(loc)[\rho]$, $(g-assign)[\rho]$, $(l-assign)[\rho]$, and $(if_{\top})[\rho]$ is applied to $cnfg_1$. The rule can be represented as follows:

$$\frac{\llbracket \varphi(\sigma \cup \sigma_0) \rrbracket = \top \qquad \llbracket e(\sigma \cup \sigma_0) \rrbracket = v}{\langle (\rho, \sigma) :: s, \sigma_0 \rangle \rightharpoonup \langle (\rho', \sigma') :: s, \sigma_0' \rangle}$$

where

- a fresh variable v for integers is assigned to a variable $y \in \{\overrightarrow{gv}, \overrightarrow{dvars}(\rho')\}$, and
- if $y = gv_i$, then $\sigma' = \sigma$ and $\sigma'_0 = \sigma[gv_i \mapsto v]$, and otherwise, $\sigma' = \sigma[y \mapsto v]$ and $\sigma'_0 = \sigma_0$.

We only show the more complex case where $y \in dvars(\rho')$. By the definition of \mathfrak{T}_{rule} , we have that

$$\delta_{\operatorname{config}}(\langle (\rho, \sigma) :: s, \sigma_0 \rangle) = (\operatorname{cnfg}(\operatorname{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), s), \overrightarrow{gv}), \varnothing)$$

and

$$\delta_{\text{config}}(\langle (\rho', \sigma[y \mapsto v]) :: s, \sigma_0 \rangle) = (\text{cnfg}(\text{stack}(f_{\rho'}(\overrightarrow{dvars}(\rho')), s), \overrightarrow{gv}), \{y \mapsto v\} \rangle$$

Thus, $\mathfrak{T}(P)$ includes the following constrained rule:

$$\begin{array}{c} \mathsf{cnfg}(\mathsf{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), s), \overrightarrow{gv}) \rightarrow \\ \mathsf{cnfg}(\mathsf{stack}(f_{\rho'}(\overrightarrow{dvars}(\rho')), s), \overrightarrow{gv})\{y \mapsto v\} \ [\varphi \land v = e \end{array}$$

Since the rule is applied to $cnfg_1$, there exist assignments σ_1, σ_2 , an integer v', and a full call stack *cstck* such that

-
$$cnfg_1 = \langle (\rho, \sigma_1) :: cstck, \sigma_2 \rangle$$
,
- $cnfg_2 = \langle (\rho', \sigma_1[y \mapsto v']) :: cstck, \sigma_2 \rangle$.
- $[[\varphi(\sigma_1 \cup \sigma_2)]] = \top$, and
- $[[e(\sigma_1 \cup \sigma_2)]] = v'$.

We now show that $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg_2)$. By the definition of ξ , we have that

$$\xi(cnfg_1) = \xi(\langle (\rho, \sigma_1) :: cstck, \sigma_2 \rangle) = cnfg(stack(f_{\rho}(\overrightarrow{dvars}(\rho)), cstck'), \overrightarrow{gv})(\sigma_1 \cup \sigma_2 \cup \sigma_3)$$

and

$$\begin{aligned} \xi(cnfg_2) &= \xi(\langle (\rho', \sigma_1[y \mapsto v']) :: cstck, \sigma_2 \rangle) \\ &= cnfg(stack(f_{\rho'}(\overrightarrow{dvars}(\rho')), cstck'), \overrightarrow{gv})(\sigma_1[y \mapsto v'] \cup \sigma_2 \cup \sigma_3) \\ &= cnfg(stack(f_{\rho'}(\overrightarrow{dvars}(\rho')), cstck'), \overrightarrow{gv})\{y \mapsto v'\}(\sigma_1 \cup \sigma_2 \cup \sigma_3) \end{aligned}$$

where $\xi(cstk) = (cstk', \sigma_3)$. Let $\theta = \sigma_1 \cup \sigma_2 \cup \sigma_3 \cup \{s \mapsto cstck'(\sigma_1 \cup \sigma_2 \cup \sigma_3)\}$. Then, we have that $[\![\phi\theta]\!] = \top$ and $[\![e\theta]\!] = v'$, and thus, θ respects the above constrained rewrite rule. Therefore, $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg_2)$.

• Consider the case where one of $(block)[\rho]$, $(if_{\top})[\rho]$, $(if_{\perp})[\rho]$, $(while_{\top})[\rho]$, and $(while_{\perp})[\rho]$ is applied to $cnfg_1$. The rule can be represented as follows:

$$\frac{[\![\varphi(\sigma \cup \sigma_0)]\!] = \top}{\langle (\rho, \sigma) :: s, \sigma_0 \rangle \rightharpoonup \langle (\rho', \sigma) :: s, \sigma_0 \rangle}$$

This case is analogous to the previous one.

• Consider the case where $(call)[\rho]$ is applied to $cnfg_1$. The rule can be represented as follows:

$$\frac{\llbracket e_1(\sigma \cup \sigma_0) \rrbracket = v_1 \dots \llbracket e_{n'}(\sigma \cup \sigma_0) \rrbracket = v_{n'}}{\langle (\rho, \sigma) :: s, \sigma_0 \rangle \rightharpoonup \langle (g, \emptyset[y_1 \mapsto v_1, \dots, y_{n'} \mapsto v_{n'}]) :: (\rho, \sigma) :: s, \sigma_0 \rangle}$$
(call)

where $Stmt(\rho) = (x = g(e_1, \dots, e_{n'});)$ and $\overrightarrow{dvars}(g) = y_1, \dots, y_{n'}$. By the definition of \mathfrak{T}_{rule} , we have that

$$\delta_{\operatorname{config}}(\langle (\rho,\sigma)::s,\sigma_0\rangle) = (\operatorname{cnfg}(\operatorname{stack}(f_\rho(\overrightarrow{dvars}(\rho)),s),\overrightarrow{gv}), \varnothing)$$

and

$$\begin{split} \delta_{\operatorname{config}}(\langle (g, \varnothing[y_1 \mapsto v_1, \dots, y_{n'} \mapsto v_{n'}]) :: (\rho, \sigma) :: s, \sigma_0 \rangle) \\ &= (\operatorname{cnfg}(\operatorname{stack}(g(y_1^h, \dots, y_{n'}^h), \operatorname{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), s)), \overrightarrow{gv}), \{y_1^h \mapsto v_1, \dots, y_{n'}^h \mapsto v_{n'}\}) \end{split}$$

Thus, $\mathfrak{T}(P)$ includes the following constrained rule:

$$\mathsf{cnfg}(\mathsf{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), s), \overrightarrow{gv}) \rightarrow \\ \mathsf{cnfg}(\mathsf{stack}(g(y_1^h, \dots, y_{n'}^h), \mathsf{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), s)), \overrightarrow{gv})\{y_1^h \mapsto v_1, \dots, y_{n'}^h \mapsto v_{n'}\} \\ [v_1 = y_1^h \wedge \dots \wedge v_{n'} = y_{n'}^h]$$

Since the rule is applied to $cnfg_1$, there exist assignments σ_1, σ_2 , integers $v'_1, \ldots, v'_{n'}$, and a full call stack *cstck* such that

-
$$cnfg_1 = \langle (\rho, \sigma_1) :: cstck, \sigma_2 \rangle$$
,
- $cnfg_2 = \langle (g, \emptyset[y_1 \mapsto v'_1, \dots, y'_{n'} \mapsto v_{n'}]) :: (\rho, \sigma_1) :: cstck, \sigma_2 \rangle$, and
- $[[e_i(\sigma_1 \cup \sigma_2)]] = v'_i$ for all $1 \le i \le n'$.

We now show that $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg_2)$. By the definition of ξ , we have that

$$\xi(cnfg_1) = \xi(\langle (\rho, \sigma_1) :: cstck, \sigma_2 \rangle) = cnfg(stack(f_{\rho}(\overrightarrow{dvars}(\rho)), cstck'), \overrightarrow{gv})(\sigma_1 \cup \sigma_2 \cup \sigma_3)$$

and

$$\begin{aligned} \xi(cnfg_2) &= \xi(\langle (g, \emptyset[y_1 \mapsto v'_1, \dots, y'_{n'} \mapsto v_{n'}]) :: (\rho, \sigma_1) :: cstck, \sigma_2 \rangle) \\ &= \mathsf{cnfg}(\mathsf{stack}(g(y_1^h, \dots, y_{n'}^h), \mathsf{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), cstck')), \overrightarrow{gv})(\sigma_1[y_1^h \mapsto v'_1, \dots, y_{n'}^h \mapsto v'_{n'}] \cup \sigma_2 \cup \sigma_3) \\ &= \mathsf{cnfg}(\mathsf{stack}(g(y_1^h, \dots, y_{n'}^h), \mathsf{stack}(f_{\rho}(\overrightarrow{dvars}(\rho)), cstck')), \overrightarrow{gv})\{y_1^h \mapsto v'_1, \dots, y_{n'}^h \mapsto v'_{n'}\}(\sigma_1 \cup \sigma_2 \cup \sigma_3) \end{aligned}$$

where $\xi(cstk) = (cstk', \sigma_3)$. Let $\theta = \sigma_1 \cup \sigma_2 \cup \sigma_3 \cup \{s \mapsto cstck'(\sigma_1 \cup \sigma_2 \cup \sigma_3)\}$. Then, we have that $[\![e_i\theta]\!] = v'_i$ for all $1 \le i \le n'$, and thus, θ respects the above constrained rewrite rule. Therefore, $\xi(cnfg_1) \rightarrow_{\mathfrak{T}(P)} \xi(cnfg_2)$.

Consider the remaining case where (return)[ρ] is applied to cnfg₁. This case is analogous to the case where (call)[ρ] is applied to cnfg₁.

C Detail of Example 4.7

For example, (loc)[sum1] in *Rules*(P_1) is transformed as follows:

$$\begin{aligned} \mathfrak{T}_{\text{rule}}((\text{loc})[\text{sum1}]) &= \mathfrak{T}_{\text{rule}}(\frac{\llbracket 0(\sigma \cup \sigma_0) \rrbracket = v}{\langle (\text{sum1}, \sigma) :: s, \sigma_0 \rangle \to_{P_1} \langle (5, \sigma[i_1 \mapsto v]) :: s, \sigma_0 \rangle}) \\ &= \xi(\langle (\text{sum1}, \sigma) :: s, \sigma_0 \rangle) \to \xi(\langle (5, \sigma[i_1 \mapsto v]) :: s, \sigma_0 \rangle) [v = 0] \\ &= \text{cnfg}(\text{stack}(\text{sum1}(x_1), s), n) \to \text{cnfg}(\text{stack}(\text{sum1}_5(x_1, i_1), s), n) \{i_1 \mapsto v\} [v = 0] \\ &= \text{cnfg}(\text{stack}(\text{sum1}(x_1), s), n) \to \text{cnfg}(\text{stack}(\text{sum1}_5(x_1, v), s), n) [v = 0] \end{aligned}$$

where $Stmt(sum1) = (int i_1 = 0;), s, \sigma, \sigma_0, v$ are variables,

$$\xi(\langle (\mathsf{sum1}, \sigma) :: s, \sigma_0 \rangle) = (\mathsf{cnfg}(\mathsf{stack}(\mathsf{sum1}(x_3), s), n), \varnothing)$$

by $\delta_{\text{cstack}}((\text{sum1}, \sigma) :: s) = (\text{stack}(\text{sum1}(x_3), s), \emptyset) \text{ and } \delta_{\text{frame.0}}((\text{sum1}, \sigma)) = (\text{sum1}(x_3), \emptyset), \text{ and } \delta_{\text{frame.0}}((\text{sum1}, \sigma)) = (\text{sum1}(x_3), \emptyset)$

 $\xi(\langle (5,\sigma[i_1\mapsto v])::s,\sigma_0\rangle) = (\mathsf{cnfg}(\mathsf{stack}(\mathsf{sum1}_5(x_1,i_1),s),n),\{i_1\mapsto v\})$

by $\delta_{\text{cstack}}((5,\sigma[i_1 \mapsto v]) :: s) = (\text{stack}(\text{sum1}_5(x_1,i_1),s), \{i_1 \mapsto v\})$ and $\delta_{\text{cstack}}((5,\sigma[i_1 \mapsto v])) = (\text{sum1}_5(x_1,i_1), \{i_1 \mapsto v\}).$

In addition, (call) [28] in $Rules(P_1)$ is transformed as follows:

$$\begin{aligned} \mathfrak{T}_{\text{rule}}((\text{call})[28]) &= \mathfrak{T}_{\text{rule}}(\frac{[[(x_3-1)(\sigma\cup\sigma_0)]] = v_1}{\langle (28,\sigma) :: s,\sigma_0 \rangle \rightarrow_{P_1} \langle (\text{sum}3, \varnothing[x_3 \mapsto v_1]) :: (28,\sigma) :: s,\sigma_0 \rangle}) \\ &= \xi(\langle (28,\sigma) :: s,\sigma_0 \rangle) \rightarrow \xi(\langle (\text{sum}3, \varnothing[x_3 \mapsto v_1]) :: (28,\sigma) :: s,\sigma_0 \rangle) [v_1 = x_3 - 1] \\ &= \text{cnfg}(\text{stack}(\text{sum}3_{28}(x_3,z_3),s),n) \rightarrow \text{cnfg}(\text{stack}(\text{sum}3(v_1),\text{stack}(\text{sum}3_{28}(x_3,z_3),s)),n) [v_1 = x_3 - 1] \end{aligned}$$

where $Stmt(28) = (z_3 = sum3(x_3 - 1);)$, all s, σ, σ_0, v_1 are variables,

 $\xi(\langle (28,\sigma)::s,\sigma_0\rangle) = (\mathsf{cnfg}(\mathsf{stack}(\mathsf{sum3}_{28}(x_3,z_3),s),n),\varnothing)$

by $\delta_{\text{cstack}}((28, \sigma) :: s) = (\text{stack}(\text{sum}3_{28}(x_3, z_3), s), \emptyset) \text{ and } \delta_{\text{frame},0}((28, \sigma)) = (\text{sum}3_{28}(x_3, z_3), \emptyset), \text{ and } \delta_{\text{frame},0}((28, \sigma)) = (\text{sum}3_{28}(x_3, z_3), \emptyset), \emptyset$

$$\xi(\langle (\mathsf{sum3}, \varnothing[x_3 \mapsto v_1]) :: (28, \sigma) :: s, \sigma_0 \rangle) = \\ (\mathsf{cnfg}(\mathsf{stack}(\mathsf{sum3}(x_3^h), \mathsf{stack}(\mathsf{sum3}_{28}(x_3, z_3), s), n), \{x_3^h \mapsto v_1\})$$

by $\delta_{\text{cstack}}((\text{sum3}, \emptyset[x_3 \mapsto v_1]) :: (28, \sigma) :: s) = (\text{stack}(\text{sum3}(x_3^h), \text{stack}(\text{sum3}_{28}(x_3, z_3), s), \{x_3^h \mapsto v_1\}), \delta_{\text{frame},0}((28, \sigma)) = (\text{sum3}_{28}(x_3, z_3), \emptyset), \text{ and } \delta_{\text{frame},h}((\text{sum3}, \emptyset[x_3 \mapsto v_1])) = (\text{sum3}(x_3^h), \{x_3^h \mapsto v_1\}).$ The initial configuration $\langle [(\text{main}, \emptyset)], \{n \mapsto 0\} \rangle$ is represented by the term cnfg(stack(main, empty), 0).